Distance determination for RAVE stars III: The nature of the RAVE survey and Milky Way chemistry


¹ Rudolf Peierls Centre for Theoretical Physics, Keble Road, Oxford, OX1 3NP, UK
² Sydney Institute for Astronomy, School of Physics A28, University of Sydney, NSW 2006, Australia
³ Astrophysikalisches Institut Potsdam, An der Sternwarte 16, D-14482, Potsdam, Germany
⁴ University of Ljubljana, Faculty of Mathematics and Physics, Jadranska 19, 1000 Ljubljana, Slovenia
⁵ Center of excellence SPACE-SI, Aškerčeva cesta 12, 1000 Ljubljana, Slovenia
⁶ Observatoire astronomique de Strasbourg, Université de Strasbourg, CNRS, UMR 7550, 11 rue de l’université, 67000, Strasbourg, France
⁷ Research School of Astronomy and Astrophysics, Australian National University, Cotter Rd., ACT, Canberra, Australia
⁸ Johns Hopkins University, Department of Physics and Astronomy, 366 Bloomberg center, 3400 N. Charles St., Baltimore, MD 21218, USA
⁹ Jeremiah Horrocks Institute, University of Central Lancashire, Preston, PR1 2HE, UK
¹⁰ Institute of Astronomy, University of Cambridge, Madingley Road, Cambridge, CB3 OHA, UK
¹¹ ARI, Zentrum für Astronomie der Universität Heidelberg, Mönchhofstr. 12-14, D-69120, Heidelberg, Germany
¹² Kapteyn Astronomical Institut, University of Groningen, Landleven 12, 9747 AD, Groningen, The Netherlands
¹³ INAF Osservatorio Astronomico di Padova, 36012 Asiago (VI), Italy
¹⁴ Department of Physics and Astronomy, University of Victoria, P.O. box 3055, Victoria,BC V8W 3P6, Canada
¹⁵ Department of Physics and Astronomy, Faculty of Sciences, Macquarie University, NSW 2109, Sydney, Australia
¹⁶ Mullard Space Science Laboratory, University College London, Holmbury St Mary, Dorking, RH5 6NT, UK
¹⁷ Australian Astronomical Observatory, P.O. box 296, Epping, NSW 1710, Australia

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ABSTRACT

We apply the method of Burnett & Binney (2010) for the determination of stellar distances and parameters to the internal catalogue of the Radial Velocity Experiment (Steinmetz 2006). Subsamples of stars that either have Hipparcos parallaxes or belong to well-studied clusters, inspire confidence in the formal errors. Distances to dwarfs cooler than \(\sim 6000 \, \text{K}\) appear to be unbiased, but those to hotter dwarfs tend to be too small by \(\sim 10\%\) of the formal errors. Distances to giants tend to be too large by about the same amount. The median distance error in the whole sample of 216,000 stars is 28\% and the error distribution is similar for both giants and dwarfs. Roughly half the stars in the RAVE survey are giants. The giant fraction is largest at low latitudes and in directions towards the Galactic Centre. Mean age as a function of distance from the Galactic centre and distance \(z\) from the Galactic plane shows the anticipated increase in mean age with \(z\). Near the plane the metallicity distribution is narrow and centred on \([\text{M/H}] \approx -0.04 \, \text{dex}\); with increasing \(z\) it broadens out and its median moves to lower metallicity.

Key words. Astrophysics - Solar and Stellar Astrophysics, Astrophysics - Galaxy Astrophysics, Astrophysics - Instrumentation and Methods for Astrophysics

1. Introduction

In recent years there have been significant advances in our knowledge of the Galaxy due to a number of large-scale surveys in complementary magnitude ranges. The Hipparcos mission (Perryman 1997) obtained astrometry of unprecedented precision for a sample of bright stars that was complete only down to \(V \sim 8\), and at the other end of the scale we have the Sloan Digital Sky Survey (SDSS, York et al. 2000), going no brighter than around magnitude \(r = 14\) and significantly fainter than \(r = 18\) (Ivezić et al. 2001). The sizable gap between these two studies is filled by the Radial Velocity Experiment (RAVE, Steinmetz et al. 2006), focusing primarily on magnitudes in the range \(9 < I < 13\). RAVE is still ongoing, and has provided a number of important results regarding the structure and kinematics of the Galaxy (see for example Smith et al. 2007, Seabroke et al. 2008, Munari et al. 2009, Siebert et al. 2008, Siebert et al. 2010).

One of the challenges thrown up by recent surveys, concentrating as they do on relatively distant stars, is that of distance estimation. The astrometric precision required to measure trigonometric parallaxes to these stars is not yet available, so we must rely on secondary methods for determining stellar distances. A useful step in this direction was taken by Breddels et al. (2010), who showed that RAVE data could be used to estimate the parameters and thus distances for objects in the second RAVE data release. Their technique was honed by Zwitter et al. (2010), who used spectrophotometric distances to characterise the RAVE survey. An alternative approach was developed by Burnett & Binney (2010) in which our prior knowledge of the
Galaxy and stars is more systematically exploited. In this paper we apply this technique to ~216 000 stars observed by RAVE.

We determine spectrophotometric distances in parallel with other stellar parameters, in particular metallicity, age and mass, so we are able to study how the giant/dwarf ratio and the distributions in age and metallicity vary in the region surveyed by RAVE. Previous applications of Bayesian inference to the determination of stellar parameters have focused on single parameters such as age (Jørgensen & Lindegren 2005); to our knowledge, this is the first study to consider all parameters together.

In Section 2 we specify the data on which our distances are based, and in Section 3 we summarise the algorithm used to calculate distances. Section 4 tests the derived distances by comparing them with (i) Hipparcos parallaxes and (ii) established cluster distances. The comparison with Hipparcos parallaxes uncovers slight biases in the distances to hot dwarfs and giants, respectively. Section 4 tests the validity of the formal errors by (i) comparing distances to the same stars derived from independent spectra, and (ii) comparing our distances with those obtained by Zwitter et al. (2010). The algorithm determines probability distributions for several stellar parameters in addition to distance. In Section 5 we display the distribution of formal errors in metallicity, age and initial mass, in addition to those in distance. In Section 6 we use our distances to explore which regions of the Galaxy are probed by the RAVE survey, and indicate which regions are predominantly probed with dwarfs or giants. In Section 7 whether examine how how mean age and metallicity vary within the probed portion of the Galaxy. Section 8 sums up.

2. The input data

Since it first took data in 2003 March, the RAVE survey has taken in excess of 400 000 spectra with resolution $R \approx 7500$. A sophisticated reduction pipeline is required to recover stellar parameters such as $T_{\text{eff}}$, log $g$ and [M/H] from this huge dataset. The data pipeline involves several parameters whose values have to be obtained. Unfortunately, the values that are optimum for one purpose are not optimum for another. In particular, we will see in §4.1 that the settings that are optimised for dwarfs are sub-optimal for giants, and vice versa, so in this work we use distinct implementations of the pipeline for stars which have log $g$ greater than, or less than 3.5, with the split based on the value of log $g$ returned by the version of the pipeline that is used for the high-gravity stars. This is the “VDR2” version of the pipeline, which was used for RAVE’s 2nd data release (Zwitter et al. 2008) and also to produce a much larger data set that was released to the collaboration in 2010 January. The low-gravity stars are processed with the “VDR3” version of the pipelines, which was used for RAVE’s 3rd data release (Siebert et al. 2011) and also to produce data releases to the collaboration in 2010 July.

We consider only data for stars that have spectra with signal-to-noise ratio $S/N \geq 20$, 2MASS photometry with quoted error in $J-K$ less than 0.3, and values from RAVE for $T_{\text{eff}}$, log $g$, [M/H], and [$\alpha$/Fe]. These criteria yield data for 216 064 distinct objects. We have obtained distances and stellar parameters for these stars.

The metallicities given in the RAVE data releases can be refined using calibration coefficients determined by comparing the raw RAVE metallicities with those obtained from high-resolution spectroscopy of a subset of stars. The 2010 January and July data releases require different calibrations. That for the January release is (Zwitter et al. 2008)

\[ [\text{M/H}]_{\text{calib}} = 0.938[\text{M/H}] + 0.767[\alpha$/Fe] - 0.064 \log g + 0.404, \]

for the July release we have (Siebert et al. 2011)

\[ [\text{M/H}]_{\text{calib}} = 1.094[\text{M/H}] + 1.21[\alpha$/Fe] - 0.711 \frac{T_{\text{eff}}}{5040 \text{K}} + 0.763 \]

The observational errors of each star depend on the star’s signal-to-noise ratio ($S/N$). From Fig. 19 of Zwitter et al. (2008) we take the errors on temperature, gravity and metallicity at $S/N = 40$ to be

\[ \sigma_{T_{\text{eff}}} = 0.0434, \]

\[ \sigma_{\log g} = \begin{cases} 0.5, & \text{if } T_{\text{eff}} < 8000 \text{K;} \\ 0.25 + 0.436 \log \left( \frac{T_{\text{eff}}}{8000} \right), & \text{otherwise;} \end{cases} \]

\[ \sigma_{[\text{M/H}]} = 1.07 \log T_{\text{eff}} - 3.71. \]

We obtain the errors at other values of $S/N$ from the empirical scaling given by eqs. (22) and (23) of Zwitter et al. (2008). Errors on colour and magnitude were taken from the 2MASS measurements on a star-by-star basis.

We take $J$ and $K$ magnitudes from the 2MASS catalogue (Skrutskie et al. 2006), and we use the Padova isochrones (Bertelli et al. 2008) – these isochrones are the only widely available ones that reproduce the red clump effectively (Zwitter et al. 2010).

3. Theory

We begin by briefly recapving the formalism developed in Burnett & Binney (2010), where a method for estimating the value of, and error on, each star’s distance, metallicity, age and mass was presented – Pont & Eyer (2004) give a useful introduction to the general methodology. We take the relevant observables for each RAVE star to be the logarithm of effective temperature $T_{\text{eff}}$, and surface gravity $g$, the observed metallicity $[\text{M/H}]_{\text{obs}}$, colour $J-K$ and apparent $J$-magnitude. We combine these into the vector of observables

\[ y = (\log T_{\text{eff}}, \log g, [\text{M/H}]_{\text{obs}}, J-K, J). \]

Each star is assumed to be characterised by a set of ‘intrinsic’ parameters: true metallicity $[\text{M/H}]$, age $\tau$, initial mass $M$, and heliocentric distance $s$, which together form a second vector

\[ x = ([\text{M/H}], \log \tau, M, s). \]

We assume Gaussian observational errors on each component of $y$, thus the measured values $\tilde{y}$ for a star have probability density function (pdf)

\[ p(\tilde{y} | y(x), \sigma_y) = G(\tilde{y}, y(x), \sigma_y), \]

where $y(x)$ represents the true observables corresponding to intrinsic stellar values $x$, and for an n-tuple $w$ $G$ is defined to be the multivariate Gaussian

\[ G(w, \mu, \sigma) \equiv \prod_{i=1}^{n} \left( \sigma_i \sqrt{2\pi} \right)^{-1} \exp \left( -\frac{(w_i - \mu_i)^2}{2\sigma_i^2} \right). \]

The pdf of a star’s intrinsic parameters $x$ is then conditional upon its observed values $\tilde{y}$, the observational errors $\sigma_y$, and the fact $s$ that the star is observed in the survey in question. We thus seek
the posterior pdf \( p(x|\tilde{y}, \sigma_y, S) \). It is shown in Burnett & Binney (2010) that the moments of this distribution are given by

\[
I_i = \int d^3x \, x_i^k \phi(x) G(\tilde{y}, y(x), \sigma_y) p(x),
\]

where \( \phi(x) \) describes any part of the survey selection function that cannot be expressed as a function of \( \tilde{y} \). This leads to a value for the expectation of each stellar parameter through

\[
\langle x_i \rangle = \frac{I_i}{I_0}
\]

and an uncertainty defined by

\[
\sigma_i = \sqrt{(I_{i2}/I_0) - \langle x_i \rangle^2}.
\]

The data were analysed using the prior of Burnett & Binney (2010), namely a three-component Milky Way model of the form

\[
p(x) = p(M) \sum_{i=1}^{3} p_i([M/H]) p_\tau(\tau) p_r(r),
\]

where \( i = 1, 2, 3 \) correspond to a thin disc, thick disc and stellar halo respectively. We assumed the same initial mass function (IMF) for all three components following Kroupa et al. (1993) and Aumer & Binney (2009), namely

\[
p(M) \propto \begin{cases} 
M^{-1.3} & \text{if } M < 0.5 M_\odot, \\
0.536 \, M^{-2.2} & \text{if } 0.5 M_\odot \leq M < 1 M_\odot, \\
0.536 \, M^{-2.519} & \text{otherwise}.
\end{cases}
\]

The three components are:

**Thin disc** \((i = 1)\):

\[
p_1([M/H]) = G([M/H], 0, 0.2),
\]

\[
p_1(\tau) \propto \exp(0.119 \, \tau/\text{Gyr}) \quad \text{for } \tau \leq 10 \, \text{Gyr},
\]

\[
p_1(r) \propto \exp\left( \frac{R}{R_{\text{thin}}} - \frac{|z|}{z_{\text{thin}}} \right);
\]

**Thick disc** \((i = 2)\):

\[
p_2([M/H]) = G([M/H], -0.6, 0.5),
\]

\[
p_2(\tau) \propto \text{uniform in range } 8 \leq \tau \leq 12 \, \text{Gyr},
\]

\[
p_2(r) \propto \exp\left( \frac{R}{R_{\text{thick}}} - \frac{|z|}{z_{\text{thick}}} \right);
\]

**Halo** \((i = 3)\):

\[
p_3([M/H]) = G([M/H], -1.6, 0.5),
\]

\[
p_3(\tau) \propto \text{uniform in range } 10 \leq \tau \leq 13.7 \, \text{Gyr},
\]

\[
p_3(r) \propto r^{-3.39}.
\]

Here \( R \) signifies Galactocentric cylindrical radius, \( z \) cylindrical height and \( \tau \) is spherical radius. The parameter values are given in Table 1.

### 4. Tests

In this section we show the results of a number of tests we performed to check the reliability and consistency of our stellar distances.

**Table 1. Values of disc parameters used.**

<table>
<thead>
<tr>
<th>Parameter</th>
<th>Value (pc)</th>
</tr>
</thead>
<tbody>
<tr>
<td>( R_d )</td>
<td>2600</td>
</tr>
<tr>
<td>( z_{\text{thin}} )</td>
<td>300</td>
</tr>
<tr>
<td>( R_d )</td>
<td>3600</td>
</tr>
<tr>
<td>( z_{\text{thick}} )</td>
<td>900</td>
</tr>
</tbody>
</table>

#### 4.1. Hipparcos stars

Burnett & Binney (2010) demonstrated the robustness of the technique described in Section 3 on the Geneva-Copenhagen sample (Holmberg et al. 2009). However it is clearly important also to make sure that it functions correctly on the RAVE data. Consequently, we now investigate the performance of our method on the subset of RAVE stars that are in the Hipparcos catalogue, in its re-reduction by van Leeuwen (2007).

We identify RAVE stars that are in the Hipparcos Catalogue by requiring that sky positions (after updating the Hipparcos positions to J2000) coincide to 1.5 arcsec, and proper motions coincide to \( 2 \sigma \), where \( \sigma \) is the quadrature-sum of the errors in the proper motions in the RAVE and Hipparcos catalogues. This process leads to 4582 matches, but these matches include only 4080 distinct stars: the remaining matches arise from multiple RAVE observations of the same object.

Given that the Bayesian method can outperform parallaxes as easily as distances, Burnett & Binney (2010) argued for comparisons with the Hipparcos data to be performed in parallax space. This is what we do in this section. It proves instructive to compare the stars in three groups: “giants” \((\log g < 3.5)\), “cool dwarfs” \((\log g \geq 3.5 \text{ and } T_{\text{eff}} < 6000 \, \text{K})\) and “hot dwarfs” \((\log g \geq 3.5 \text{ and } T_{\text{eff}} \geq 6000 \, \text{K})\). Fig. 1 shows the normalised residuals

\[
R_\sigma = \frac{\langle \sigma \rangle - \sigma_{\text{Hipparcos}}}{\sqrt{\sigma_\sigma^2 + \sigma_{\text{Hipparcos}}^2}}
\]

between the photometric and Hipparcos parallaxes for each of these groups. In each case the dispersion of the residuals is close to unity, which confirms the accuracy of the derived errors. For the cool dwarfs the mean residual is pleasingly close to zero, but the mean residual of the hot dwarfs is distinctly positive, indicating that the photometric parallaxes tend to be larger than the trigonometric ones. Fig. 2 makes the cause of this anomaly clear by showing the density of RAVE stars with \( S/N \geq 20 \) in the \((T_{\text{eff}}, \log g)\) plane together with isochrones for ages 3 Gyr (full) and 9 Gyr (broken) and metallicities ranging from \([M/H] = -1 \) (red) to \([M/H] = 0.1 \) (dark blue). For \( T_{\text{eff}} \geq 6000 \, \text{K} \) and \([M/H] > -0.4 \) there is a clear tendency for stars to be concentrated at higher values of \( \log g \) than the isochrones allow. This shortcoming of the data fed to the Bayesian algorithm leads to the systematic under-estimation of the radii and therefore the luminosities of stars. Consequently, the predicted parallaxes are larger than they should be. Fig. 2 shows that the displacement of hot, relatively metal-rich stars to excessive values of \( \log g \) is significantly more pronounced with the July version of the pipeline (lower row) than the January version, and the the July stellar parameters yield a mean value of \( R_\sigma \) for the hot dwarfs which is as large as 0.273.

The top right panel of Fig. 1 shows that the spectrophotometric parallaxes of giants are systematically too small, so \( R_\sigma = −0.11 \). When the parameters output by the January ver-
Fig. 1. Upper panels: distribution of normalized residuals (16) between our spectrophotometric parallaxes and values from Hipparcos. In this and subsequent histograms the quantity plotted vertically is the number of objects in the bin divided by the bin’s width, and an error bar shows the statistical uncertainty of each point. The mean and dispersion of each distribution are given at top right. A Gaussian of zero mean and unit dispersion is over-plotted. Lower panels: the distribution of fractional uncertainties in the spectrophotometric parallaxes. For the top panel the errors are found by adding our uncertainty and that of Hipparcos in quadrature; for the bottom panel the errors are just the spectrophotometric ones.

Fig. 2. The density of RAVE stars with $S/N \geq 20$ in the $(T_{\text{eff}}, \log g)$ plane together with Padova isochrones for ages 3 Gyr (solid) and 9 Gyr (dashed). The colours indicate metallicities: [$M/\text{H}$] = -1 red; [$M/\text{H}$] = -0.6 yellow; [$M/\text{H}$] = -0.2 green; [$M/\text{H}$] = 0 light blue; [$M/\text{H}$] = 0.1 dark blue. The top row shows parameters from the January pipeline, while the lower row is for the July pipeline. In each row stars are grouped by metallicity, with the highest metallicities on the right. Note that in several panels, in the temperature range $6000 \leq T_{\text{eff}} \leq 7000$ the density of stars is high below the lowest isochrone. This anomaly is most pronounced in the lower row.

The mean and dispersion displayed in Fig. 1 are for the distributions once we clip outliers, defined as stars with normalized residuals of modulus greater than four. This excludes eight stars of the original 4080. Table 2 lists some data for these objects, one of which has repeat observations. It is notable that stars with $\log g < 4$ are predicted to have smaller parallaxes than Hipparcos measured. For example, the $\log g$ value of Hipparcos 6075 is
strongly indicative of a giant, so the stellar models predict for it an absolute \(J\)-magnitude in the range \(M_J \in (-5.7, 0.5)\); combined with its measured \(J = 8.68\) this would imply a parallax in the range \(\sigma \in (0.2, 4.2)\) mas. Consequently the assignment of \((\sigma) \in (0.42, 1.04)\) mas is eminently reasonable but in strong conflict with the Hipparcos value, 28.5 mas. The Hipparcos magnitude of this star is three magnitudes fainter than its value of \(J\) from 2MASS. Either the star is exceptionally red, or the Hipparcos and 2MASS data relate to different objects.

Two of the stars with small \(\log g\) and under-estimated parallax (Hipparcos 44216 and 46831) lie quite near the Galactic plane and may well be obscured, which would cause the predicted parallaxes to be too small.

Hipparcos 65142 has \(J - K = 0.799\), which is consistent with its being either a giant or a dwarf, depending on its metallicity. The RAVE catalogue gives \([\text{M/H}] = 0.2\) and at this high metallicity a dwarf is consistent with the colour. The algorithm chooses this solution because \(\log g\) is measured to be 4.4. On account of the prior, the algorithm returns a probability distribution for \([\text{M/H}], 0.04 \pm 0.14\), that is centred on a smaller metallicity. If \([\text{M/H}]\) were near the bottom of this range the star could not be a dwarf and the predicted parallax would fall to near the Hipparcos value.

The other outliers in Table 2 with \(\log g > 4\) all have \(T_{\text{eff}} > 7000\) K, and with one exception have over-estimated parallaxes. Like Hipparcos 65142, these stars have probably been assigned values of \(\log g\) that are too large and are in consequence predicted to be less luminous than they really are. The one star that has under-estimated parallax is Hipparcos 97962. It has been observed twice by RAVE, and is evidently a very hot star. The highest temperature provided by the model isochrones is 30410 K, so even with allowance for observational error, the temperature from the higher S/N observation cannot be matched by the program. Moreover, the star's metallicity, \(-1.17\), falls below that of our lowest-metallicity isochrone. Clearly we should exclude from analysis all stars that are so incompatible with the models. In practice we excluded all observations with \(T_{\text{eff}}\) above the maximum model temperature \((T_{\text{eff}} = 33\,600\ K)\). Fortunately, this cut removed only two stars from the sample.

### 4.2. Cluster stars

One other test we can perform involves finding the distances to RAVE stars that were identified by Zwitter et al. (2010) as lying in clusters with known distances. Ten of these stars are giants \((\log g < 3)\), so provide a good test bed for the analysis of such stars, which have traditionally proved difficult for spectrophotometric distance techniques to fit (Breddels et al. 2010). The large distances to these stars also provide a good test of the safety of neglecting reddening. Star OCL000277.2236411 has a repeat measurement in the RAVE catalogue and hence we fitted it twice using both sets of results.

The results of our fitting of these fifteen stars are shown in Table 3 and Fig. 3. All but one of the stars lie within 1\sigma of their literature distances, implying a reasonable fit to both dwarfs and giants, with no obvious bias. Indeed the best-fit zero-intercept straight line has a gradient of 1.03, which shows that any bias is negligible even in such a small sample of stars. Consequently, in contrast to the finding of Breddels et al., our results for giants appear to be as reliable as those for dwarfs. Furthermore the lack of a systematic bias implies that our distances are valid despite our neglect of reddening, which is justified a priori by the use of infrared magnitudes and the arguments of Zwitter et al. (2010).

<table>
<thead>
<tr>
<th>Star ID</th>
<th>Cluster distance (pc)</th>
<th>this paper ((\langle s \rangle \pm \sigma, (pc))</th>
<th>Z10 ((\langle s \rangle \pm \sigma, (pc))</th>
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<td>1401 \pm 502</td>
<td>1120 \pm 230</td>
</tr>
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<td>1290 \pm 220</td>
</tr>
<tr>
<td>J075214.8-383848</td>
<td>1300</td>
<td>1588 \pm 583</td>
<td>1740 \pm 330</td>
</tr>
</tbody>
</table>

![Fig. 3. Estimated distances \((s)\) to cluster stars plotted against cluster distances from the literature. Dwarf stars are marked by open octagons and giant stars are marked by filled squares. The dotted lines show equal distances and distances that differ by 30%. Stars in the same cluster have been slightly offset horizontally for clarity.](image)

### 4.3. Repeat observations

A significant number of RAVE stars have been observed more than once. Although these repeat observations will not reveal systematic errors, they do provide a valuable test of the quoted errors.

Fig. 4 shows the outcome of this test, using 45 475 spectra of 19 094 distinct stars. The top panel shows the distributions of distance discrepancies divided by the mean distance for giants and dwarfs. For the giants the median fractional distance residual is 9.2\%, while for the dwarfs it is only 7.3\%. Taking both populations together, we find that 68.2\% of the points lie at a scatter of below 13.3\%, implying that this may be a more realistic estimate of the average distance error than the value implied by the formal errors, 28\% (top left panel Fig. 6 below). The lower panel of Fig. 4 shows the distributions of normalised residuals, where the normalising factor is the quadrature-sum of the formal errors on each distance. For both the giants and the dwarfs, the dispersions of these distributions are considerably smaller than unity, again implying that the formal errors are excessive, undoubtedly because they are based on the conservative estimates...
of the errors on the stellar parameters derived in Zwitter et al. (2008).

Of course repeat observations will not reveal systematic errors arising from shortcomings in the isochrones and spectral templates, for example. However, the work with Hipparcos and cluster stars described in Sections 4.1 and 4.2 strongly limits the scale of systematic errors, which would not be detected by Fig. 4. Consequently, we conclude that the mean error in our distances is ≤ 20%, which is a good level of accuracy for a spectrophotometric technique.

In what follows, we use for stars with several spectra the weighted averages of parameters from individual spectra, with the weights taken to be the $S/N$ ratios of the spectra. This leaves us with data for 209 950 distinct stars.

### Table 2. Outliers from the analysis of Hipparcos stars. $\mathcal{R}_\text{obs}$ symbolises normalized residuals; parallaxes are measured in mas.

<table>
<thead>
<tr>
<th>Hipp ID</th>
<th>$\pi$</th>
<th>Analysis</th>
<th>$l$</th>
<th>$b$</th>
<th>$J$</th>
<th>$H\pi$</th>
<th>$T_{\text{eff}}$</th>
<th>$\log g$</th>
<th>[M/H]</th>
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<td>6075</td>
<td>28.51 ± 2.80</td>
<td>0.73 ± 0.31</td>
<td>290.9</td>
<td>-68.4</td>
<td>8.68</td>
<td>11.67</td>
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<td>0.90 ± 0.15</td>
<td>279.9</td>
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<td>8.18</td>
<td>10.38</td>
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<td>1.57 ± 3.56</td>
<td>269.1</td>
<td>6.0</td>
<td>8.97</td>
<td>11.37</td>
<td>3890</td>
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4.4. Comparison with Zwitter et al. (2010)

It is interesting to compare our distances with those derived from the same spectra by Zwitter et al. (2010), which gives distances obtained from the July data release with three different isochrone sets. Here we consider only the distances Zwitter et al. obtained from Padova isochrones. We use the “new, revised” distances described in the note added to Zwitter et al. (2010) in proof; these distances use the less conservative error estimates to which the analysis of Siebert et al. (2011) gives rise. Our distances continue to be based on the older, more conservative error estimates of Zwitter et al. (2008).

The final column of Table 3 shows the distances Zwitter et al. find for the cluster stars. The formal errors on these distances are smaller than ours on account of the less conservative errors that Zwitter et al. adopted for the input parameters. Otherwise the agreement with our distances is excellent. In the case of OCL00148_1373319, for which the difference between our distance and the literature value is largest (1.25σ), the Zwitter et al. distance lies closer to the literature value than our distance does, although it is still larger than expected by 1.5σ. This star lies at Galactic latitude $b = 3.6^\circ$, while most RAVE stars lie at much higher latitudes; only 5622 of the spectra under consideration come from $|b| < 6^\circ$. The distances derived for OCL00148_1373319 from RAVE data may be too large because the star is significantly obscured and we have neglected obscuration.

The upper panel of Fig. 5 shows the distributions of the normalized residuals between our distances and those of Zwitter et al. when all stars are taken together. The mean normalised residual is pleasingly small, 0.02. The lower panel shows the distribution of normalised residuals for giants and dwarfs taken separately. The dwarfs have quite a narrow distribution of residuals, while most RAVE stars lie at much higher latitudes; only 5622 of the spectra under consideration come from $|b| < 6^\circ$. The distances derived for OCL00148_1373319 from RAVE data may be too large because the star is significantly obscured and we have neglected obscuration.

At 0.52 the dispersion of the normalised residuals of the dwarfs in Fig. 5 is substantially smaller than unity but larger than the dispersion of repeat observations of dwarfs (Fig. 4). This situation is what one would expect given that the Zwitter et al. distances and ours derive from the same data but processed
with different versions of both the reduction pipeline and the algorithm used to extract distances from stellar parameters.

In Fig. 5 the distribution of normalised residuals between the Zwitter et al. distances for giants and ours is quite wide (dispersion 0.86) and offset in the opposite direction to the distribution of dwarfs: the Zwitter et al. distances for giants tend to be larger than ours. Since the top right panel of Fig. 1 implies that our distances for giants are already larger than the Hipparcos parallaxes imply, the implication is that the Zwitter et al. distances for giants are less accurate than ours.

Whereas in Fig. 5 there is a contribution to the distribution of normalised residuals for dwarfs from the different pipelines used (January versus July), there is no such contribution to the broader distribution of residuals for giants, and the entire width of the giant distribution derives from the different algorithms used to extract distances from a given set of stellar parameters.

In fact the residual distribution can be understood in terms of the different priors used here and in Zwitter et al.: whereas our prior recognises the existence of three components in the Galaxy, Zwitter et al. used a simple prior involving an IMF and a magnitude-dependent effect to represent the probability of a star entering a magnitude-limited sample under the assumption of constant volume density. First, our prior incorporates the spatial inhomogeneity of the Galaxy’s discs, which pulls stars towards smaller distances, particularly at high Galactic latitudes. The effect on dwarf stars can be expected to be rather small, but the effect on giants is more marked: in order to fall into the survey’s magnitude limits (which include both low- and high-magnitude cuts), a giant would have to be at a reasonable distance from the Sun, at which point (due to RAVE’s range of Galactic latitudes) the disc’s structure begins to play a significant role. This accounts for the leftwards wing of the red histogram in the bottom panel of Fig. 5.

Second, we also have a prior on stellar age that favours older ages. This prior increases the likely luminosity of a star of given initial mass over the most probable luminosity derived from the prior of Zwitter et al. Consequently, we identify a significant number of stars as giants that Zwitter et al. considered to be dwarfs or subgiants. These stars appear as a noticeable positive wing in the red histogram of Fig. 5.

Aside from these substantial differences in approach, the different IMF and metallicity distributions taken in our study and that of Zwitter et al. make the small remaining spread seen in Fig. 5 eminently reasonable.

5. Output precisions

Fig. 6 shows the distribution of formal errors in each stellar parameter for the whole RAVE sample. Comparing these distributions with those in Figs. 3 and 10 of Burnett & Binney (2010), we see that our precisions are noticeably higher than forecast. The median formal error in distance is 28%, and in §4.3 we saw that repeat observations suggested that the random errors are likely only half as large. The median input error in metallicity from the catalogue is 0.237, while the top right panel of Fig. 6 shows that the median output error is 0.17, so use of the prior diminishes the uncertainty by 29%. This reduction is consistent with the small scatter in Fig. 1 of Burnett & Binney (2010)).

6. The selection function

Even though RAVE has one of the simplest and best-defined selection criteria of any large survey of the Galaxy, selection is based on colour and magnitude on the sky, and it is a non-trivial exercise to compute the resulting fraction of the stars in a given location in space that will be in the catalogue. Until these fractions are better determined, we cannot infer spatial densities of stars in the Galaxy from counts of stars in the RAVE catalogue. Hence at this stage we are restricted to three lines of enquiry: (i) what stars does the survey capture?, (ii) how do the distributions of stellar parameters vary with position in the Galaxy?, and (iii) how do the Galaxy’s kinematics vary with position, age and metallicity? In this section we focus on the first two lines of enquiry. Our distances and other parameters will be used elsewhere to study the Galaxy’s kinematics.

In Fig. 7 we show the distribution of absolute J-magnitudes in different slices of Galactic latitude. The distributions are strongly bimodal: the red clump produces a narrow peak at \( M_J \sim -1 \) and turnoff stars a broader peak around \( M_J \sim 3 \). At \(|b| < 25^\circ\) clump stars completely dominate, while the turnoff stars outnumber giants above \(|b| \sim 40^\circ\). This progression reflects the steepening gradient in the density of stars along the line of sight with increasing \(|b|\) since clump stars must have a distance modulus of more than 8, and a distance \( \geq 400 \) pc, to enter the survey, and towards the pole there are many fewer stars at such distances than nearby dwarfs. In the absence of a steep gradient along the line of sight, clump stars dominate the survey because the latter was designed to select disc giants. Moreover the fraction of giants at \(|b| < 25^\circ\) is enhanced by the fact that during most of the survey a colour cut \( J - K > 0.5 \) has been imposed in the region \( 230^\circ < l < 315^\circ \), \(|b| < 25^\circ\) precisely in order to favour the selection of giants – elsewhere selection has been on magnitude alone.

Fig. 8 shows how the distribution in absolute magnitude varies with Galactic longitude. As the argument just given leads
one to expect, giants are less prominent towards the antecentre than towards either the inner Galaxy or the tangent directions. However, the distributions in $l$ are strongly influenced by the survey’s non-uniform sky coverage. Towards the Galactic centre, the RA VE fields extend much closer to the Galactic plane, and in such fields giants will be particularly prominent.

It is interesting to compare the variation over the sky of the giant/dwarf ratio with that predicted by the Galaxy modelling code *Galaxia* (Sharma et al. 2010) for a survey with RA VE’s selection function. Fig. 9 shows the observed giant/dwarf ratio, while Fig. 10 shows the prediction of *Galaxia* with (lower panel) and without (upper panel) the inclusion of extinction. In both this figure and Fig. 9 the giant fraction is above unity only within $\sim 25^\circ$ of the plane, but near the plane it achieves very large values – in Fig. 9 there are cells with more than 10 dwarfs and $n_g/n_d > 54$ – both on account of the length of sight lines through the disc, and on the imposition of the colour cut $J - K > 0.5$ mentioned above, which enhances the giant fraction. Consequently, the dark blue region of Fig.9 is heavily saturated. The numbers in the top left corner of each panel give the ratio $n_g/n_d$ of the total number of giants to dwarfs, which is 1.05 for both the data and the model when extinction is modelled using the prescription of Bland-Hawthorn et al. (2010). In the absence of dust, the highest values of $n_g/n_d$ occur in the plane, but the model with dust shows $n_g/n_d$ to be slightly depressed in the plane. This phenomenon is just detectable in RAVE’s zero-latitude fields. We conclude that the data are in excellent agreement with the predictions of *Galaxia*.

### 7. Parameter distributions

What does RAVE tell us about the variation from point to point in the Galaxy in the distribution of stars over age and metallicity? We must bear in mind that our prior has a bigger impact on these stellar parameters than on distances. Consequently, we investigate the effect of our prior on the results.

#### 7.1. Region probed by survey

Fig. 11 shows the density of observed stars in the ($R , z$) plane. The density seen here is the product of three factors: (i) the intrinsic density of stars in the Galaxy, (ii) variation with distance from the Sun that follows from the survey’s faint and bright magnitude limits and the stellar luminosity function, and (iii) a bias against objects in the plane that is driven by a combination of obscuration and the survey’s avoidance of low-latitude fields. Notwithstanding the strong impact of the biases (ii) and (iii), the basic structure of the Galactic disc is evident in Fig. 11.

Regardless of the extent to which the density of observed stars reflects the Galaxy’s intrinsic structure or selection effects, it tells us for which regions the survey carries useful information. At the solar radius this extends to $\sim 3$ kpc above and below the plane, and beyond the solar circle there is a steady but gradual narrowing in the width in $z$ of the surveyed region with increasing $R$. Towards the centre the surveyed region terminates at larger values of $|z|$ than towards the antecentre. Fig. 12 shows the distribution in distance of stars in three ranges of Galactic latitude: $|b| < 40^\circ$, $40^\circ \leq |b| < 60^\circ$ and $|b| \geq 60^\circ$. The median distances in these three classes are 1.03 kpc for $|b| < 40^\circ$, 450 pc for $40^\circ \leq |b| < 60^\circ$ and 372 pc for $|b| \geq 60^\circ$.

#### 7.2. Stellar ages

Fig. 13 displays a colour-scale plot of the average stellar age across the ($R , z$) plane. To some extent this distribution reflects our prior, but it is encouraging to see that the map conforms to our intuition: at small $|z|$ a young disc is dominant. This young structure dwindles as one moves outwards in $R$ and away from
Fig. 7. The distribution of derived absolute magnitudes in slices of Galactic latitude ($|b|$). The same vertical scale is used for all four panels so one gets an impression of the latitude-distribution of the entire sample.

$z = 0$. Far from the plane, the gradient in age becomes small, consistent with these regions being dominated by an old population that is not strongly concentrated to the plane.

Fig. 8. The distribution of derived absolute magnitudes in ranges of Galactic longitude ($l$). The same vertical scale is used for all three panels so one gets an impression of the longitude-distribution of the entire sample.

Fig. 9. The variation across the sky of the giant/dwarf fraction, with giants defined by $\langle M_J \rangle < 1$ and dwarfs by $\langle M_J \rangle \geq 1$. White regions are not sampled by RAVE.

Fig. 10. The prediction of the Galaxy modelling code Galaxia (Sharma et al. 2010) for the structure of Fig. 9.

Note that shapes of the contours in Fig. 11 and Fig. 13 are quite different. This fact is reassuring, for it tells us that the measured age distribution remains stable even when the survey picks up only a small fraction of the Galaxy’s stars.

Fig. 14 addresses the fear that the age gradient evident in Fig. 13 is an artifact produced by our chosen prior, by showing the distribution one obtains with a prior that is completely flat in age from the present back to age 13.7 Gyr; all other elements of the prior (metallicity, number density, IMF) were unchanged. We see that dropping the prior causes the mean age for many regions to become $\sim 6$ Gyr. This happens because with a flat age prior, the age pdfs of stars become broad and their means shift towards the centre of the permitted range. Although age is less strongly
correlated with $|z|$ in Fig. 14 than in Fig. 13, the youngest stars remain concentrated to the plane, and above the plane there is a tendency for mean age to increase with $R$ at fixed $z$ as we expect if a tapering young disc is superimposed on a broader old population of thick-disc and halo stars. Thus although the prior is having a significant effect on the age distribution we recover from RAVE, it is not entirely responsible for the nice age distribution seen in Fig. 13.

In Fig. 13 the immediate vicinity of the Sun seems to have a slightly older population than points just above the plane and ~1 kpc further in or out. The number of stars seen near the plane and ~1 kpc from the Sun is small (Fig. 11) and the stars we do see have a high probability of being obscured by dust. The obscuration will select against low-luminosity stars and thus favour the entry into the catalogue of hot young stars. It will also affect age determinations, but in an unpredictable way because $T_{\text{eff}}$ will be changed as well as the broad-band colours. Moreover, in low-latitude fields unusual objects were deliberately targeted by the RAVE survey. Consequently, the data for low-latitude regions that lie ~1 kpc from the Sun are suspect, and the more gradual falloff in age with height near the Sun is likely to be more representative of the disc than the steeper gradient seen further away. A countervailing consideration is that the brightness magnitude limit of RAVE will exclude nearby young stars and thus bias the nearby data towards older stars.

In view of these concerns for the reliability of the low-latitude data, from this point we restrict our analysis to stars at Galactic latitudes of $|b| \geq 25^\circ$. The sample is then reduced to 157,251 stars.

7.3. Metallicity

We now look at the variation of mean metallicity with distance from the Galactic plane. We have two different sets of metallicities to work with, since each star has both an observed value and that returned by the model fitting. We plot both sets of data simultaneously in Fig. 15. Since the model isochrones only cover metallicities down to $[\text{M/H}] = -0.914$, distributions of output metallicities for $|z| \geq 1$ kpc show a tendency for stars to pile up near this limit. At lower heights the output distributions are distinctly tighter than those observed, and below ~300 pc they are displaced to slightly lower [M/H]. The output histograms tend to negligible values well ahead of the metallicity of the most metal-
rich isochrone ([M/H] = 0.54), suggesting that there really are extremely few stars with [M/H] > 0.2. The median value of the observational error for each slice (estimated via eq. 3 in this paper and eq. 22 of Zwitter et al. 2008) is shown as a red scale bar on each panel, and, given that there are smaller errors on our output metallicities, it can be seen that, apart from the bias to low metallicity just discussed, the scale of these errors is very reasonable to explain the difference between the blue and red distributions in each case.

The progression that would be expected in metallicity is clearly visible as one moves away from the plane: from a narrow thin-disc distribution at low |z|, there is a gradual shift to a broader thick-disc distribution beyond around one thin-disc scale height, ~ 0.3 kpc, moving towards a significantly lower-metallicity halo distribution as one moves beyond the thick disc scale height, 0.9 kpc. The metallicity distribution for 1 kpc < |z| < 1.8 kpc is similar to that found by Bensby et al. (2007) for thick-disc stars except that ours extends ~ 0.1 dex less far on the metal-poor side. The emergence of a very metal-poor population at |z| > 2 kpc apparent in the top panel of Fig. 15 is consistent with the conclusion of Ivezić et al. (2008), who found a metal-poor halo population to be apparent for heights beyond ~ 2 kpc.

Close to the plane the natural comparison is with the metallicity distribution within the Geneva-Copenhagen survey (GCS) of Hipparcos stars (Nordström et al. 2004; Holmberg et al. 2009). Unfortunately, the metallicities of these stars are somewhat controversial. Fuhrmann (2008) finds that high-resolution spectroscopy of a sample of only 185 local F and K stars implies that the solar-neighbourhood distribution in [Mg/H] covers (~0.2, 0.2), while Haywood (2006) argues that the metallicity distribution of young stars is intrinsically narrow and the spread in measured values of ~ 0.1 dex is dominated by measurement error. The bottom panel of Fig. 15 suggests that near the plane, the intrinsic spread in the metallicity is indeed narrow.

The means of the distributions of input and output metallicities shown in Fig. 15 lie close to one another at all values of |z|. Fig. 16 makes this fact clear by showing the output distribution in blue superimposed on the wider input distribution, plotted in pink. The similarities of these means implies that the blue output metallicities are not merely reproducing our prior.

### 7.4. Age-metallicity relation

Fig. 17 shows the distribution of stars in the age–metallicity plane at |z| > 500 pc in the upper panel and |z| < 500 pc in the lower panel. At high |z| we see the expected concentration of thick-disc stars to high ages with a broad metallicity distribution. The ridge line of the population clearly shows a rapid increase in metallicity with time, to solar metallicity at an age of 6 Gyr. Nearer the plane, there is a significant population of young stars and a lower envelope to the distribution that allows for relatively young stars that have distinctly sub-solar metallicities. The highest density of thin-disc stars occurs at [M/H] = 0 and age ~ 6 Gyr, distinctly younger than the maximum age in the disc, and at [M/H] = 0 the density of stars tails off rapidly at ages in excess of 6 Gyr. At earlier times only metal-poor stars formed, and the rate at which they did so appears to have been flat or even increasing with time, while at later times the star-formation rate must have declined steadily with time.

While these trends are interesting, it is not clear how much confidence we should place in the age values returned by the distance finding algorithm.

### 8. Conclusions

We have derived distances (or parallaxes) to ~ 216,000 stars in the RAVE survey using the Bayesian analysis of Burnett & Binney (2010). We have checked the parallaxes and their associated errors against Hipparcos parallaxes, and conclude that for
dwarfs cooler than $T_{\text{eff}} = 6000 \text{ K}$ the parallaxes are unbiased, but the parallaxes of hotter dwarfs are systematically too large by $\sim 0.1 \sigma$ because the RAVE pipeline over-estimates the gravities of hot dwarfs. The parallaxes of giants tend to be too small by $\sim 0.1 \sigma$. For all three classes of star, hot dwarfs, cool dwarfs and giants, the scatter in differences between the spectrophotometric and Hipparcos parallaxes is consistent with the formal errors on the parallaxes.

We have checked our distances and our errors against distances to star clusters, which tend to be beyond the reach of Hipparcos. This rather small sample of stars, which contains both dwarfs and giants, is consistent with our distances being unbiased and their errors being accurate.

RAVE has obtained more than one spectrum for $\sim 19000$ stars. We have used this sample to assess our errors by comparing independent distances to the same object. The scatter in the difference of distances is only half the quadrature-sum of their formal errors. This is consistent with a significant contribution to the errors coming from factors, such as defects in the spectral analysis and the physics of the stellar models, that are the same for repeated determinations of the distance to a given star.

Comparison of our distances to the spectrophotometric distances of Zwitter et al. (2010) shows that we assign slightly larger distances to dwarfs and smaller distances to giants than do Zwitter et al. Given the signs of our deviations from Hipparcos parallaxes, it follows that for both dwarfs and giants our distances are more accurate than those of Zwitter et al. For dwarfs this finding can be traced to the use by Zwitter et al. of a version of the RAVE pipeline that tends to over-estimate $\log g$, especially for hot dwarfs. Our distances to giants benefit from a more sophisticated prior, which tends to pull stars to smaller distances. For dwarfs the distribution of normalised residuals between our distances and those of Zwitter et al. is rather narrower, having a dispersion of only 0.52, implying that much of the uncertainty in distance arises from errors in the original data and the spectral template library, which are common to the two studies.

Our formal errors are based on the conservative estimates of the errors in the input data given by Zwitter et al. (2008). The conservative nature of these errors is confirmed by the analyses of both repeat observations and the distances of Zwitter et al. (2010). However, the analysis of Hipparcos stars indicates that by happy chance our formal error budget is just large enough to encompass external sources of error, such as spectral mis-match and deficiencies in the stellar models, so our formal errors are close to the final uncertainties in our distances.

We have examined the distributions of the errors returned by the Bayesian analysis for distance, metallicity, age and initial mass. The median formal distance error is 28%, the median formal uncertainty in $[\text{M}/\text{H}]$ is 0.17 dex, the median formal uncertainty in $\log(\tau)$ is 0.27 dex and the median fractional uncertainty in initial mass is 16%. These figures show that by using prior knowledge of the structure of our Galaxy and the nature of stellar evolution, one can constrain stellar parameters more narrowly than when each spectrum is considered in isolation.

Data gathered during the pilot part of the RAVE survey will shortly be released (Siebert et al. 2011), and at that time our distances for stars in the pilot survey will be made generally available. In view of our results for the Hipparcos stars, it may be useful to correct the distances of dwarfs with $T > 6000 \text{ K}$ by increasing their distances by 10% of their formal errors, and to correct the distances of giants by decreasing their distances by the same amount.

Our distances reveal which parts of the Galaxy the RAVE survey probes. Roughly half the stars in the RAVE catalogue are giants and half dwarfs. The giant/dwarf ratio varies strongly with Galactic latitude and to a weaker extent with Galactic longitude. The structure of the variation is accurately predicted by Galaxia when obscuration by dust is included, which significantly reduces the fraction of giants seen towards the Galactic Centre. Although the spatial distribution of RAVE stars reflects the survey’s selection function as well as the intrinsic stellar density of the Galaxy, it nonetheless reveals the double-exponential structure of the disc. Moreover, the distribution of stellar ages shows the expected concentration of young stars towards the plane.

The metallicity distribution evolves systematically with distance from the plane, being quite narrow and slightly sub-solar at $|z| < 150 \text{ pc}$, to much broader and centred on $[\text{M}/\text{H}] \approx -0.5$ more than 2 kpc up. Our results support the view that observational errors have the biggest impact on the observed metallicity distribution near the plane.

This work has brought into sharp focus the crucial importance of the pipeline that extracts stellar parameters from the raw spectra. Notwithstanding heroic efforts by the RAVE Data Management Group it is evident that there is still significant scope for improving the pipeline. Any improvement in the pipeline will feed through into more accurate distances.

The distances and stellar parameters described here provide a basis for extensive work on the structure, kinematics and dynamics of our Galaxy. Work on the Galaxy’s kinematics is already underway, and papers in this area will appear shortly. Work on the Galaxy’s dynamics requires characterisation of the intrinsic density distribution of the population(s) whose kinematics have been measured. Determining those densities involves determination of the survey’s selection function. This is the next major task that must be accomplished before the RAVE survey can attain its ultimate goals.

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Fig. 17. The distribution of stars in the age–metallicity plane at $|z| > 500 \text{ pc}$ (above) and $|z| < 500 \text{ pc}$ (below). The colour encodes the base-10 logarithm of stellar density, with red indicating a complete absence of stars. The values of $[\text{M}/\text{H}]$ used are the outputs of the distance-finding algorithm.
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