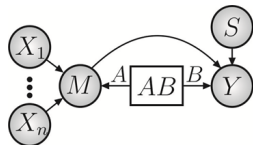
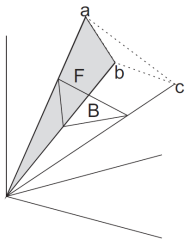
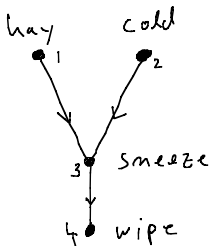


Quantum Non-Locality and Latent Causal Structures



David Gross
University of Cologne

Coogee (yeah!)
Jan 2017

Outline

Outline:

- ▶ Background
- ▶ Entropies
- ▶ LPs
- ▶ SDPs

People:

- ▶ **Rafael Chaves**,
R. Kueng, C. Majenz,
L. Luft, A. Kela,
K. Prillwitz, J. Aberg,
D. Janzing,
B. Schollkopf. . .

R. Chaves, L. Luft, DG, *New J. Phys.* 16, 043001 (2014)

R. Chaves *et al.*, *Uncertainty in Artificial Intelligence* 2014

R. Chaves, C. Majenz, DG, *Nature Communications* 6, 5766 (2015)

R. Chaves, R. Kueng, J.B. Brask, DG, *Phys. Rev. Lett.* 114, 140403 (2015)

A. Kela, K. Prillwitz, J. Aberg, R. Chaves, DG, [arXiv:1701.00652](https://arxiv.org/abs/1701.00652).

Outline

Not recent enough?

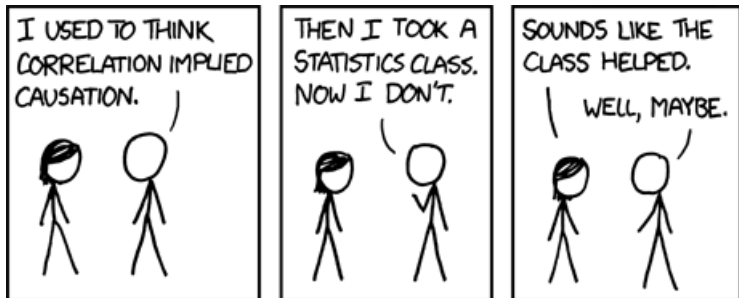
← → ↻ www.physics.usyd.edu.au/quantum/Coogee2015/Talks.htm

David Gross
(University of Freiberg, Germany)

Non-Negative Phase Space Distributions, with the Benefit of Hindsight

- R. Chaves, L. Luft, DG, New J. Phys. 16, 043001 (2014)
- R. Chaves *et al.*, Uncertainty in Artificial Intelligence 2014
- R. Chaves, C. Majenz, DG, Nature Communications 6, 5766 (2015)
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- A. Kela, K. Prillwitz, J. Aberg, R. Chaves, DG, arXiv:1701.00652.

Testing causal structures



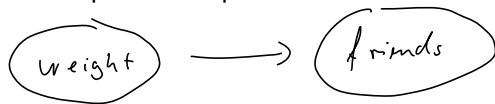
Is obesity contagious?

Empirical finding: People of similar weight more likely to be friends.

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Various possible explanations:

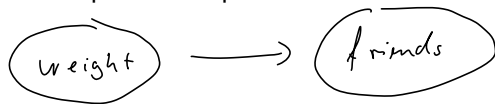


- ▶ Prefer friends with similar body constitution.

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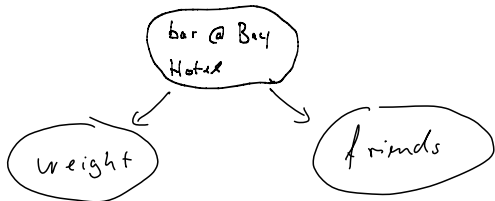
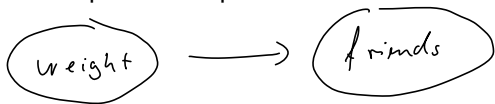


- ▶ Prefer friends with similar body constitution.
- ▶ Imitate eating habits of friends.
- ▶ "Obesity is contagious"

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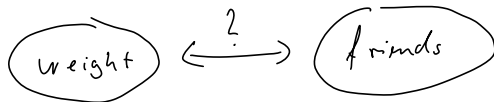
Various possible explanations:



- ▶ Prefer friends with similar body constitution.
- ▶ Imitate eating habits of friends.
- ▶ "Obesity is contagious"

- ▶ Unobserved common cause.

Interventions



Causal relationships can be probed by *interventions*:

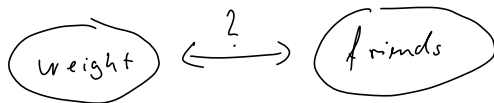
Compare

$$\Pr[\text{friends} \mid \text{same weight}]$$

$$\Pr[\text{friends} \mid \text{do}(\text{same weight})]$$

$$\Pr[\text{do}(\text{friends}) \mid \text{weight}].$$

Passive Causal Inference?



However:

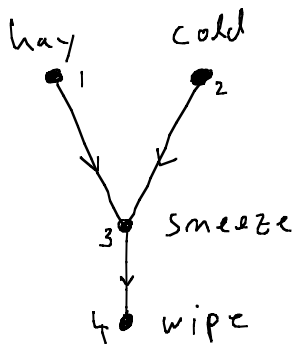
- ▶ Interventions often impractical / unethical

Natural Question:

Can one obtain information about causal relations from empirical observations?

Causal structures

To address problem, formalize notions:



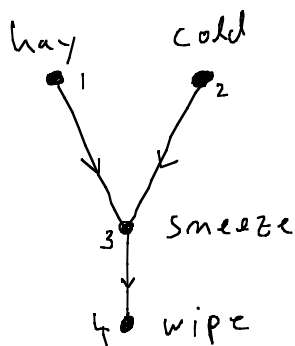
- ▶ For n variables X_1, \dots, X_n ,
- ▶ a *causal structure* or *Bayesian network* is directed acyclic graph,
- ▶ with i th variable function

$$X_i = f_i(\text{pa}_i, u_i)$$

of its parents pa_i and “local randomness” u_i .

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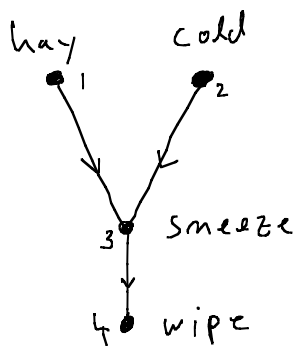
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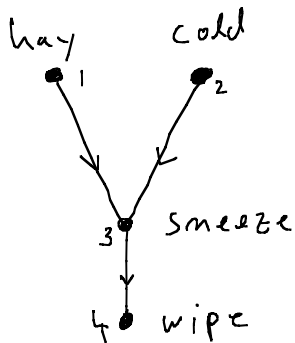
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Chain rule of probability $\Rightarrow p(x_1, \dots, x_n) = \prod_{i=1}^n P(x_i | \text{pa}_i, u_i)$.

In TN language: Contraction of (non-negative) tree tensor network.

Local Markov Condition

Causal structure *does* imply testable conditions

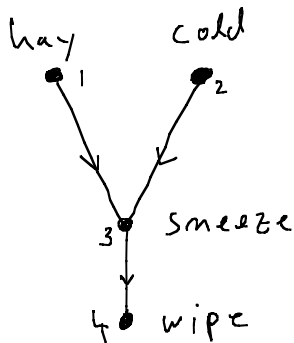


Ex.:

- ▶ “wiping” independent of “cold” *conditioned* on “sneezing”.

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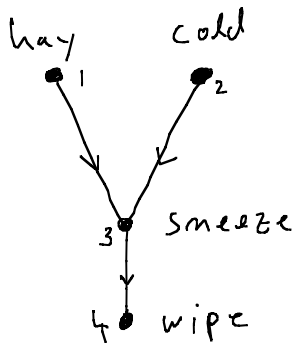
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More generally:

- ▶ X_i is independent of its non-descendants, given its parents.
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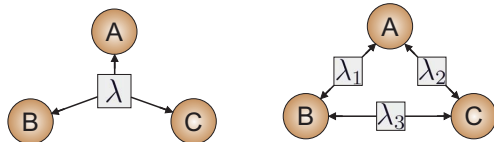
Result:

- (1) All corollaries of causal structures follow from Local Markov Conditions.
- (2) Recoverable aspects of causality graph well-understood.

Hidden variables (confounders / latent variables)

... however, analysis breaks down if only subset of variables accessible.

Ex.: “common ancestor” problem:

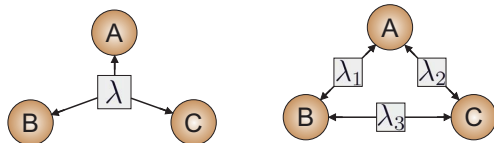


- ▶ Pair-wise structure implies no independences between A, B, C ,
- ▶ but is not compatible, e.g. with 3 perfectly correlated coins.

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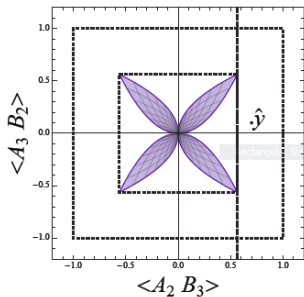
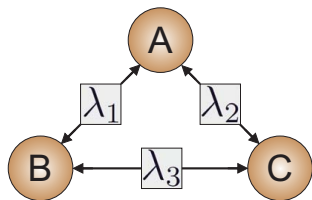
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Ex.: “common ancestor” problem:



- ▶ Pair-wise structure implies no independences between A, B, C ,
- ▶ but is not compatible, e.g. with 3 perfectly correlated coins.
- ▶ Amazingly, this example not yet fully characterized! (\rightarrow Later)

Algebraic Statistics



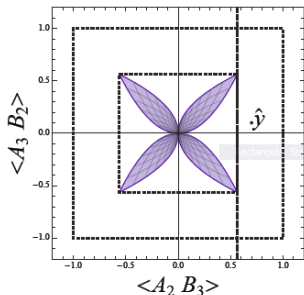
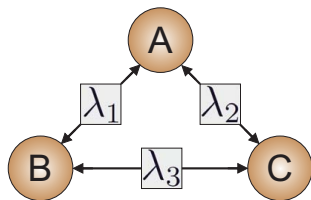
- ▶ Independences = algebraic constraints

$$p(x, y) = p(x)p(y)$$

$$\Leftrightarrow \text{rank}(p(x, y)) = 1$$

- ▶ Rank variety + Positivity = real algebraic geometry

Algebraic Statistics



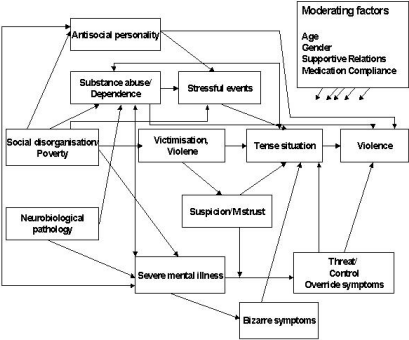
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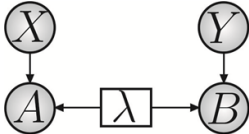
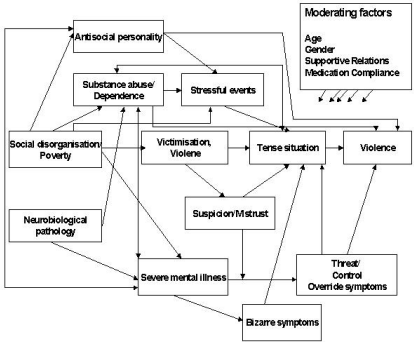
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- ▶ Rank variety + Positivity = real algebraic geometry
- ▶ Nasty in theory and practice...
- ▶ ... so new ideas needed.

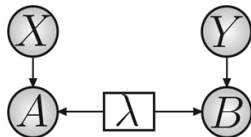
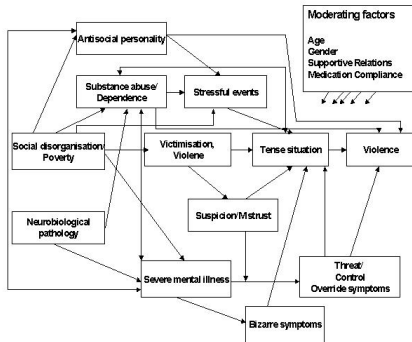
Diverse Applications...



Diverse Applications...



Diverse Applications. . .



Bell inequalities for social networks 09jun11

I'm happy to unveil a new paper, "A sequence of relaxations constraining hidden variable models".

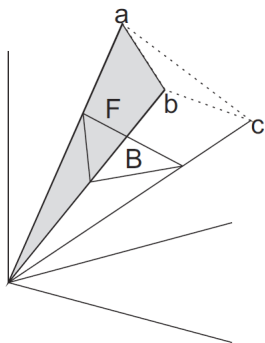
Depending on your interests, I'm including two different overviews. One comes from the social networks perspective and the other from the quantum physics perspective.

Fundamental to detecting hidden variables.

Entropic Marginals

1. Entropy cone

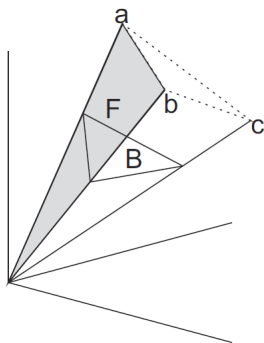
Step 1/3: The unconstrained, global object.



- ▶ Associate with $S \subset \{1, \dots, n\}$ the joint entropy $S(X_S)$
- ▶ \Rightarrow an *entropy vector* $v \in \mathbb{R}^{2^n}$, indexed by subsets
Ex.: $(H(\emptyset), H(A), H(B), H(A, B))$

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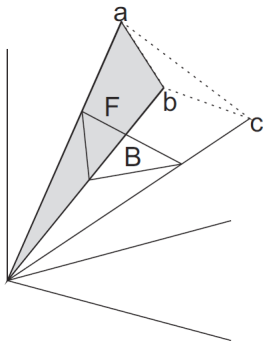
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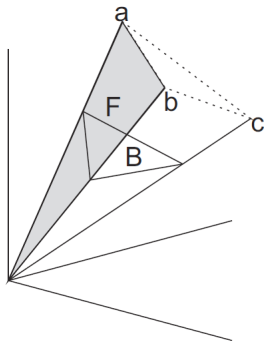
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- ▶ ...contained in *Shannon cone* cone Γ_n , defined by strong subadditivity and monotonicity.

$$H(A, B) \leq H(A, B, C), \quad H(A, B) \leq H(A) + H(B), \quad I(B : C|A) \geq 0.$$

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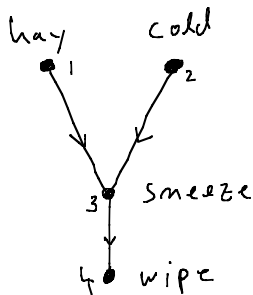
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- ▶ We will mostly work with Shannon relaxation.

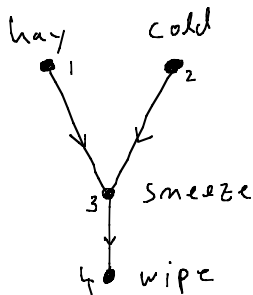
2. Causal constraints

Step 2/3: Now choose candidate structure and add causal constraints.



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Conditional independences measured by mutual information:

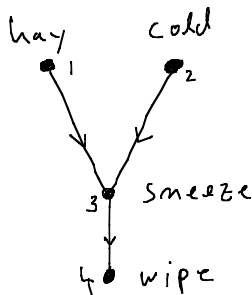
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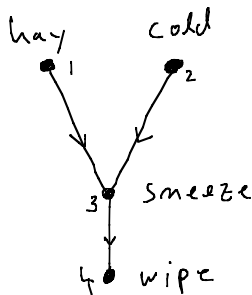
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- ▶ \Rightarrow cone C of constraints.

\Rightarrow new global cone $\Gamma_n \cap C$ of entropies subject to causal structure.

3. Marginalize

Step 3/3: Marginalize.

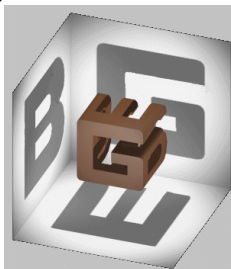
- ▶ Set $\mathcal{M} \subset 2^{\{1, \dots, n\}}$ of jointly observable r.v.'s is *marginal scenario*.
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QM: Some r.v.'s not jointly measurable.

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Marginalize to \mathcal{M} :



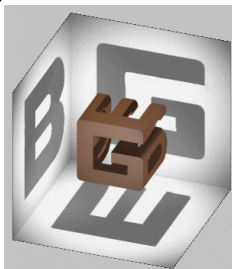
- ▶ Geometrically trivial:
just restrict $\Gamma_n \cap \mathcal{C}$ to observable coordinates.
- ▶ Algorithmically costly: $\Gamma_n \cap \mathcal{C}$ represented in terms of inequalities (use, e.g. Fourier-Motzkin elimination)

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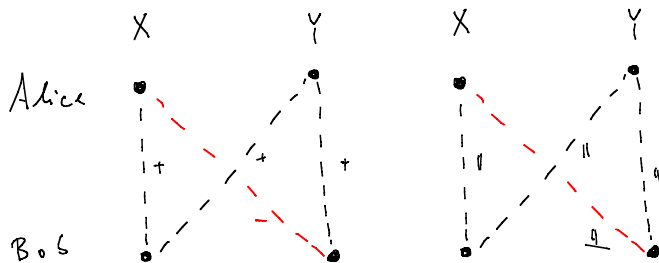


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Final result: description of marginal, causal, entropy cone $(\Gamma_n \cap \mathcal{C})|_{\mathcal{M}}$ in terms of “entropic Bell inequalities”.

1. Relation Entropy & Binary Bell Ineqs

Revisit “entropic CHSH” [Braunstein & Caves '88 (!)]

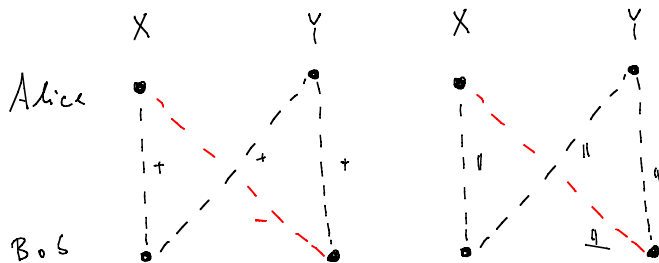


$$\langle X_A X_B \rangle + \langle Y_A X_B \rangle + \langle Y_A Y_B \rangle - \langle X_A Y_B \rangle \leq \langle X_A \rangle + \langle X_B \rangle$$
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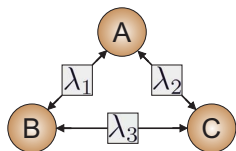
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- ▶ Measures frustration in *degree* of correlation, rather than *sign*.
- ▶ Resembles “sign-reversed” CHSH. No coincidence...
- ▶ Result: Negative of any multipartite entropic ineq also valid for probabilities. [NJP '13]
- ▶ Often, converse true \Rightarrow Source of entropic Bell ineqs [NJP '13]

2. Common Ancestors & Strength of Causal Influence

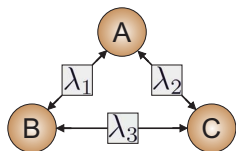


- ▶ Entropic constraints given by (perms of)

$$\mathcal{B} = I(A : B) + I(A : C) - H(A) \leq 0.$$

- ▶ Ex.: Perfectly correlated coins: $\mathcal{B} = 1$.

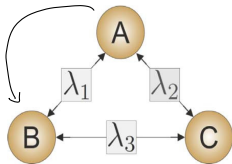
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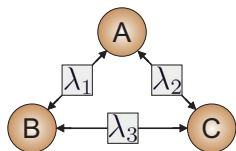
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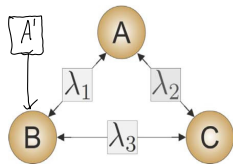
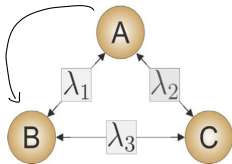
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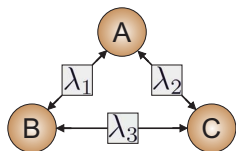
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- ▶ Def. causal strength $\mathcal{C}_{A \rightarrow B}$ as relative entropy distance incurred by cutting link.

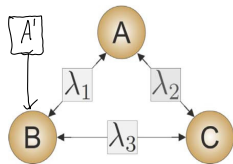
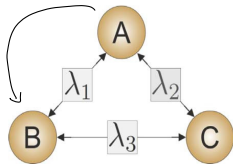
2. Common Ancestors & Strength of Causal Influence



- ▶ Entropic constraints given by (perms of)

$$\mathcal{B} = I(A : B) + I(A : C) - H(A) \leq 0.$$

- ▶ Ex.: Perfectly correlated coins: $\mathcal{B} = 1$.
- ▶ Violation \mathcal{B} interpretable as *causal strength* of direct influence $A \rightarrow B$ required to explain data [UAI '14]

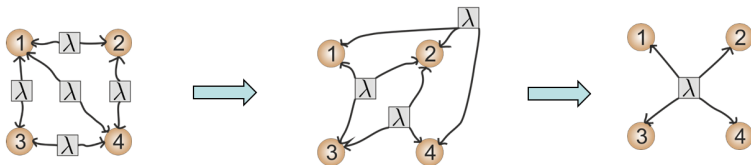


- ▶ Def. causal strength $\mathcal{C}_{A \rightarrow B}$ as relative entropy distance incurred by cutting link.
- ▶ Then $\mathcal{C}_{A \rightarrow B} \geq \mathcal{B}$. [UAI '14]

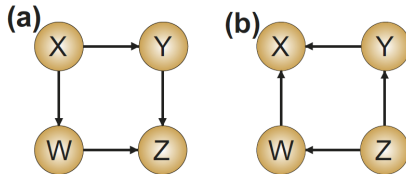
3. Many more...

Can treat...

- ▶ Scenarios of n observables with independent common ancestors influencing at most M each



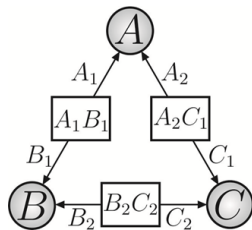
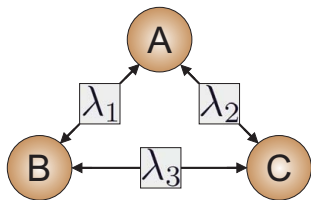
- ▶ Direction of causation from pairwise marginals



... and more.

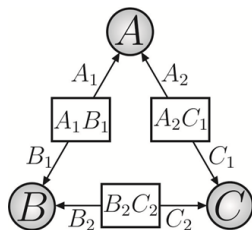
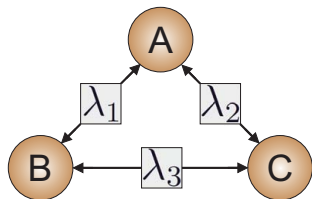
Entropy & Quantum Causal Structures

Quantum Causal Structures 1



- ▶ With minor modifications, causal diagrams make sense for quantum systems.

Quantum Causal Structures 1



- ▶ With minor modifications, causal diagrams make sense for quantum systems.
- ▶ Nodes are states. Labels designate systems.
- ▶ If node has incoming edges, state results from CP map applied to incoming systems.
- ▶ Sample diagram says

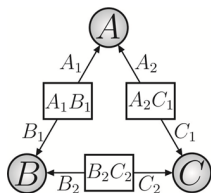
$$\rho_{ABC} = [\Phi_{A_1 A_2 \rightarrow A} \otimes \Phi_{B_1 B_2 \rightarrow B} \otimes \Phi_{C_1 C_2 \rightarrow C}] (\rho_{A_1 B_2} \otimes \rho_{A_2 C_2} \otimes \rho_{B_2 C_2 C}).$$

Quantum Causal Structures 2

How to build entropic constraints for quantum causal structures:

1. Use von Neumann entropy

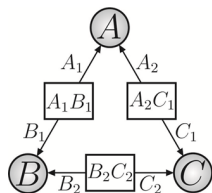
\Rightarrow drop monotonicity ineq. $H(A, B) \geq H(A)$



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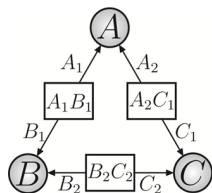
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No " $H(A_1, A)$ "!
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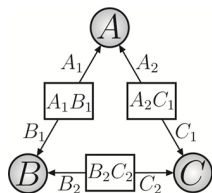


$$I(A : B) \leq I(A_1A_2 : B_1B_2).$$

Quantum Causal Structures 2

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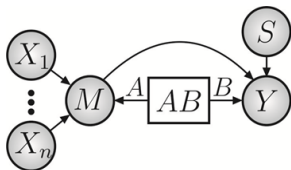
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... gives rich theory [Nat. Comm. '14].

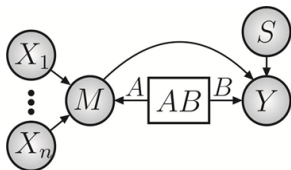
Quantum Causal Structures Ex.: Information Causality



Recall inf. caus. game: [Pawlowski *et al.*, Nature '09]

- ▶ Alice receives bits X_1, \dots, X_n , sends message M to Bob
- ▶ Bob receives M and challenge $S \rightarrow$ outputs guess Y for X_S
- ▶ Aided by joint quantum state ρ_{AB}

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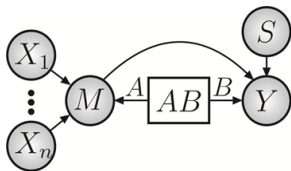
Original inequality:

$$\sum_s I(X_s : Y | S = s) \leq H(M)$$

Strengthening using systematic “quantum causal structures” prot.:

$$I(X_1 : Y_1, M) + I(X_2 : Y_2, M) + I(X_1 : X_2 | Y_2, M) \leq H(M) + I(X_1 : X_2).$$

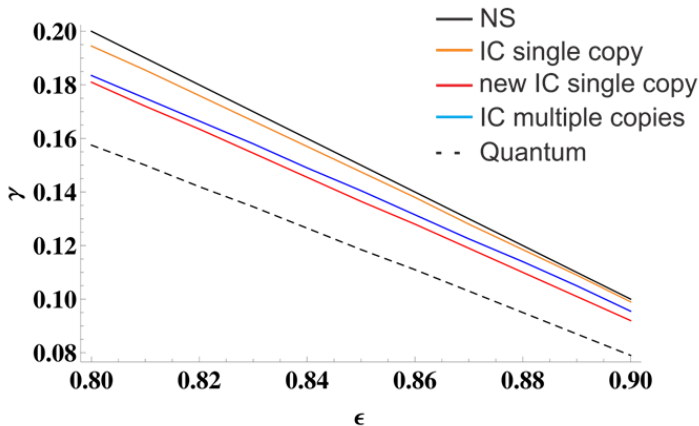
Quantum Causal Structures Ex.: Information Causality



Two consequences of strengthened ineq.:

1. Violation measures “direct causal influence” $\mathcal{C}_{X \rightarrow Y}$

Quantum Causal Structures Ex.: Information Causality



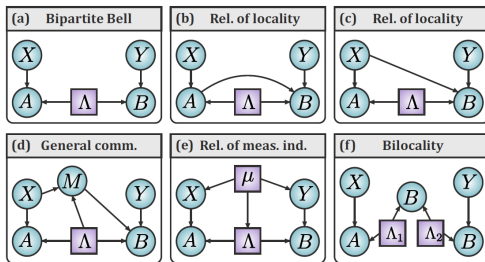
$$p(a, b|x, y) = \gamma P_{PR} + \epsilon P_{det} + (1 - \gamma - \epsilon) P_{white}$$

Two consequences of strengthened ineq.:

1. Violation measures “direct causal influence” $C_{X \rightarrow Y}$
2. Detects more post-quantum correlations:

LPs & relaxations of causal assumptions in Bell scenarios

Relaxations of causal assumptions in Bell scenarios



In this part:

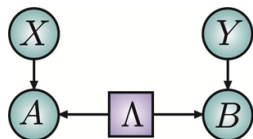
- ▶ Do *not* work with entropies.

But show how...

- ▶ ...graphical notation of causality make it easy to reason about relaxations of causal assumptions.
- ▶ ...the idea of quantifying “causal influence” is fruitful for Bell scenarios.

Relaxations of causal assumptions in Bell scenarios

Constraints encoded by Bell causal structure have names:



- ▶ Locality

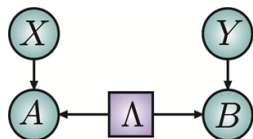
$$p(b|x, y, \lambda) = p(b|y, \lambda).$$

- ▶ Measurement independence

$$p(x, y, \lambda) = p(x)p(y)p(\lambda).$$

Relaxations of causal assumptions in Bell scenarios

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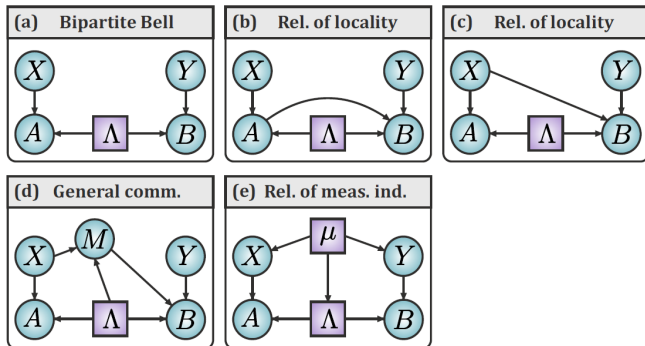
- ▶ Measurement independence

$$p(x, y, \lambda) = p(x)p(y)p(\lambda).$$

How much do we need to relax the causal assumptions entering in Bell's theorem to explain "non-local correlations" classically?

Relaxations

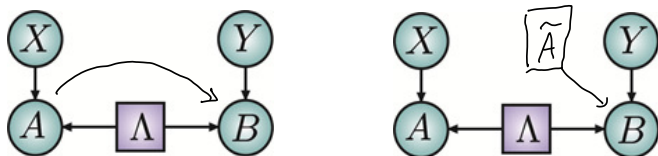
- ▶ Ingredient 1: More general *causal structures*



- ▶ Ingredient 2: Quantitative measures of *causal strength*

Relaxations

- ▶ Ingredient 1: More general *causal structures*
- ▶ Ingredient 2: Quantitative measures of *causal strength*



Meas. $\mathcal{C}_{A \rightarrow B}$ used here: Maximal change in total variational distance incurred by manually changing A:

$$\mathcal{C}_{A \rightarrow B} = \sup_{a, a'} \sum_{\lambda} p(\lambda) |p(b|\text{do}(a), \lambda) - p(b|\text{do}(a'), \lambda)|$$

Results

Main Result

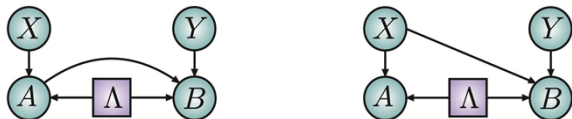
Quantitative minimum of relaxation necessary to classically explain observed data can often be cast as a linear program. Moreover, closed-form results can often be obtained using duality theory.

Results

Main Result

Quantitative minimum of relaxation necessary to classically explain observed data can often be cast as a linear program. Moreover, closed-form results can often be obtained using duality theory.

- Causal interpretation of numerical CHSH violation:



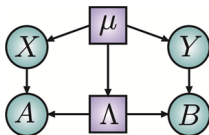
$$\min \mathcal{C}_{A \rightarrow B} = \min \mathcal{C}_{X \rightarrow B} = \max\{0, CHSH\}$$

Results

Main Result

Quantitative minimum of relaxation necessary to classically explain observed data can often be cast as a linear program. Moreover, closed-form results can often be obtained using duality theory.

- ▶ Quantitative bound on measurement dependence



$$\min \mathcal{M} = \max\{0, I_d/4\},$$

where

$$\mathcal{M} = \|\rho(\lambda, x, y) - \rho(\lambda)\rho(x, y)\|_{TV}$$

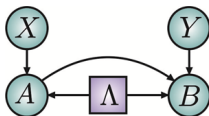
and I_d violation of CGLMP-inequality.

Results

Main Result

Quantitative minimum of relaxation necessary to classically explain observed data can often be cast as a linear program. Moreover, closed-form results can often be obtained using duality theory.

- ▶ Quantum violations even for classical models that allow for communication of measurement outcomes!



Recent Australian experiments

Experimental Test of Nonlocal Causality

M. Ringbauer^{1,2}, C. Giarmatzi^{1,2}, R. Chaves^{3,4}, F. Costa¹, A. G. White^{1,2} & A. Fedrizzi^{1,2,5}

¹*Centre for Engineered Quantum Systems, ²Centre for Quantum Computer and Communication Technology, School of Mathematics and Physics, University of Queensland, Brisbane, QLD 4072, Australia,*

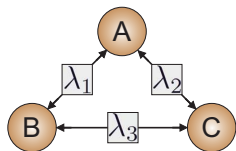
³*Institute for Physics & FDM, University of Freiburg, 79104 Freiburg, Germany, ⁴Institute for Theoretical Physics, University of Cologne, 50937 Cologne, Germany,*

⁵*School of Engineering and Physical Sciences, SUPA, Heriot-Watt University, Edinburgh EH14 4AS, UK*

[Science Advances '16]

Semi-definite programming bounds

SDPs



Recall we can't even figure out triangle...

... side remark ...

This might be a chance!

... side remark. ...

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[Three qubits can be entangled in two inequivalent ways](#)

[W Dür, G Vidal...](#) - [Arxiv preprint quant-ph/0005115, 2000](#) - [arxiv.org](#)

Abstract: Invertible local transformations of a multipartite system are used to define equivalence classes in the set of **entangled** states. This classification concerns the **entanglement** properties of a single copy of the state. Accordingly, we say that **two** states ...

[Cited by 1683](#) - [Related articles](#) - [BL Direct](#) - [All 22 versions](#) - [Import into BibTeX](#)

[Four qubits can be entangled in nine different ways](#)

[F Verstraete, J Dehaene, B De Moor...](#) - [Physical Review A, 2002](#) - [APS](#)

... to the singlet state by SLOCC operations **3**. In the case of **three entangled qubits**, it was shown 2,4,5 that each state **can** be converted by SLOCC operations either to the GHZ-state ($000\ 111\ \rangle$), or to the W-state ($001\ 010\ 100\ \rangle$), leading to **two inequivalent ways of entangling** ...

[Cited by 350](#) - [Related articles](#) - [BL Direct](#) - [All 12 versions](#) - [Import into BibTeX](#)

[Control and measurement of three-qubit entangled states](#)

[CF Roos, M Riebe, H Häffner, W Hänsel...](#) - [Science, 2004](#) - [sciencemag.org](#)

... The ions' electronic **qubit** states are initialized in the S state by optical pumping. **Three qubits can be entangled** in only **two inequivalent ways**, represented by the Greenberger-Horne-Zeilinger (GHZ) state, , and the W state, (17). ...

[Cited by 273](#) - [Related articles](#) - [All 13 versions](#) - [Import into BibTeX](#)

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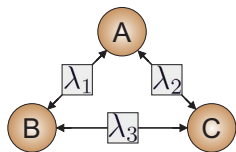
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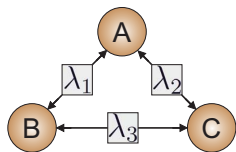
Three coins can be correlated in how many ways?

SDPs



Recall we can't even figure out triangle...

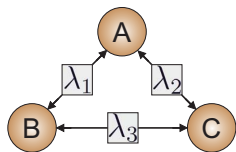
SDPs



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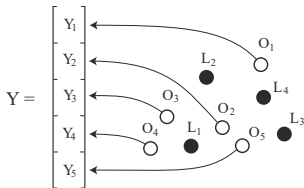
... new outer approximations based *covariances*

SDPs



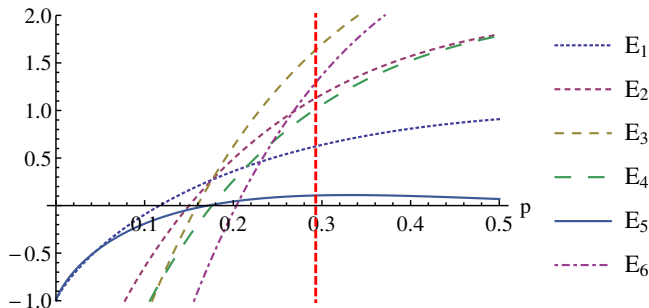
Recall we can't even figure out triangle...

... new outer approximations based *covariances*



- ▶ Assume all observable quantities take values in a vector space.
- ▶ Q: What can we say about its covariance matrix?

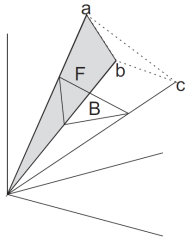
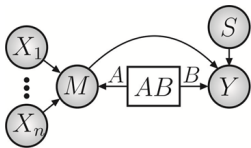
SDPs



- ▶ SDP test more powerful than entropic ineqs. for triangle.
- ▶ ... but true transition point still not known.

Summary

- ▶ Causal structures and Bell nonlocality go well together
- ▶ Field relatively young – pick that fruit!



Thank you
for your
attention

David Gross
University of Cologne

Coogee (yeah!)
Jan 2017