



**Physics 1901**

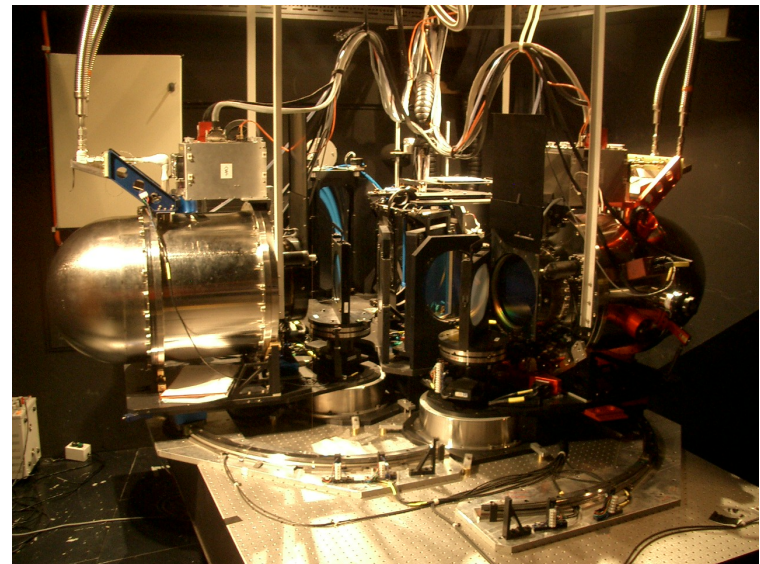
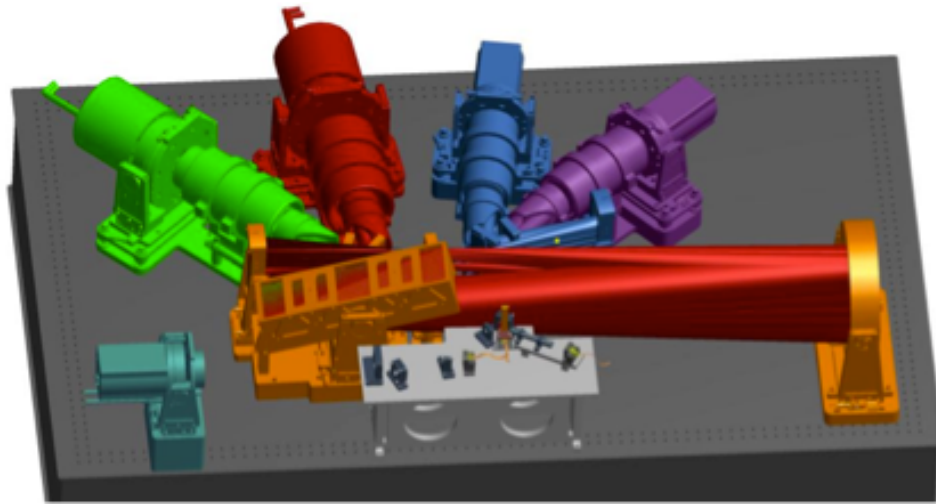
**Experimental Astronomy –  
Graduate Course  
Autumn (Apr-May 2014)**

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Australian Astronomical Observatory

Prof. Joss Bland-Hawthorn  
Sydney Institute for Astronomy

# Some questions: (which you should be able to answer at the end of the course)

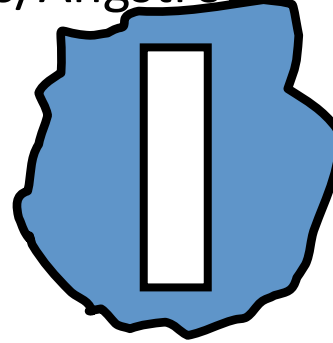
- What are the parts of a spectrograph
- Why are spectrographs so big?
- What sets the sensitivity?
- How do I estimate the exposure time?



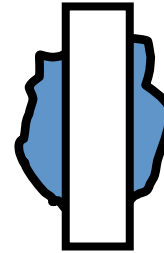
# Spectrograph Speed

Speed=# of counts/s/Angstrom

I. Slit-limited



II. Intermediate



III. Image-limited



Bowen, I.S., "Spectrographs," in *Astronomical Techniques*, ed. by W.A. Hiltner, (U. of Chicago Press, 1962), pp. 34-62.

# Spectrograph Speed

Schroeder 12.2e, Ira Bowen (1962)

## I. Slit-limited

$$Speed \propto D_{Tel}^0 \bullet W_{grating}^2$$

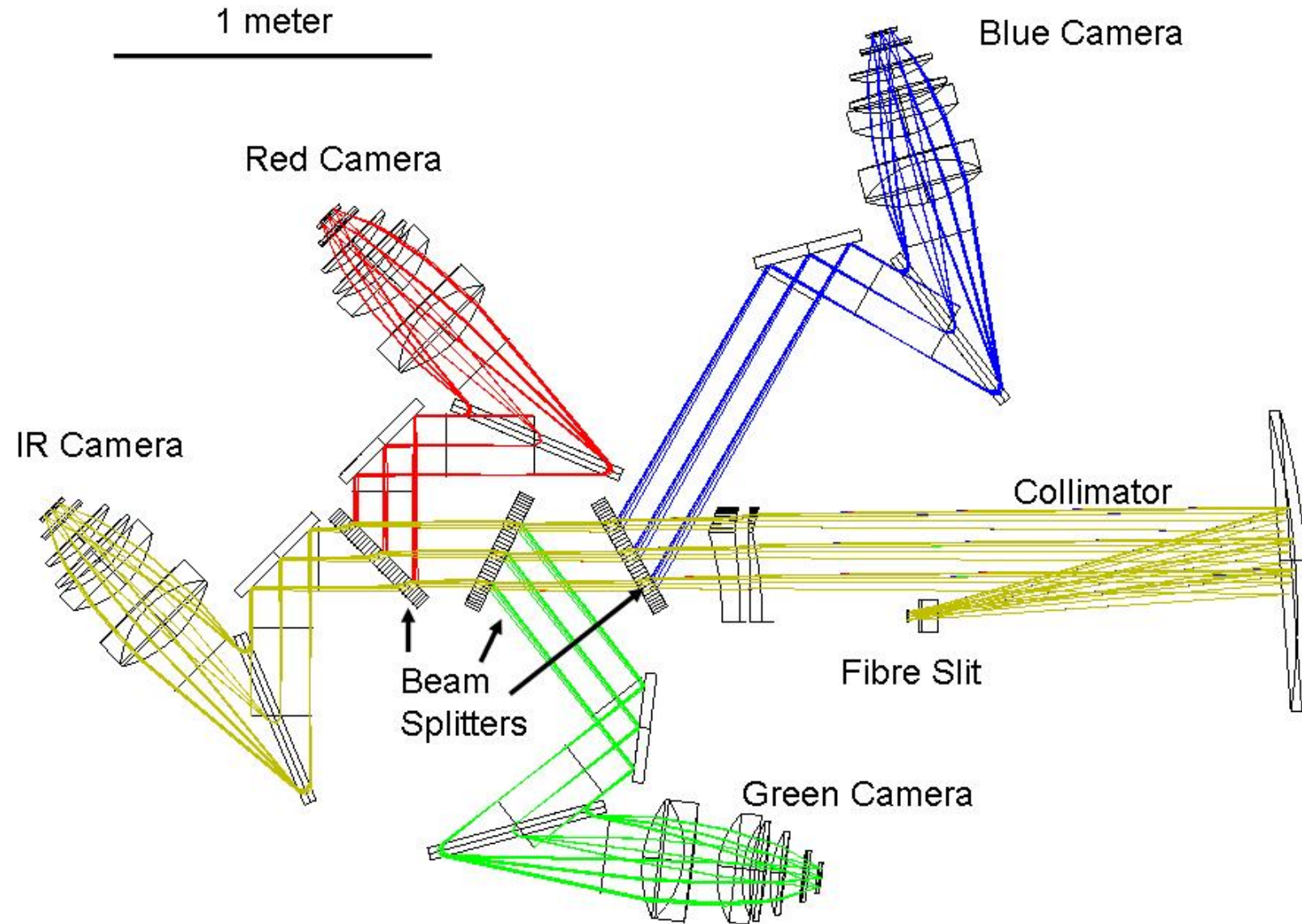
## II. Intermediate

$$Speed \propto D_{Tel}^1 \bullet W_{grating}^1$$

## III. Image-limited

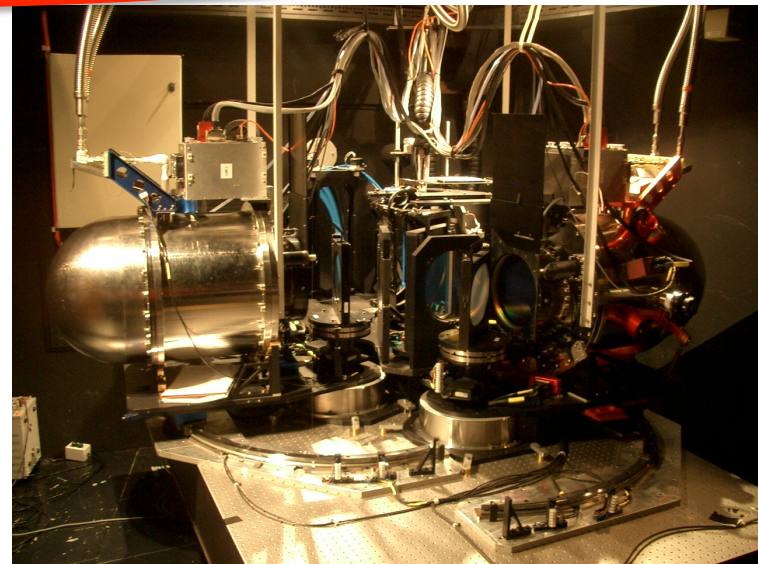
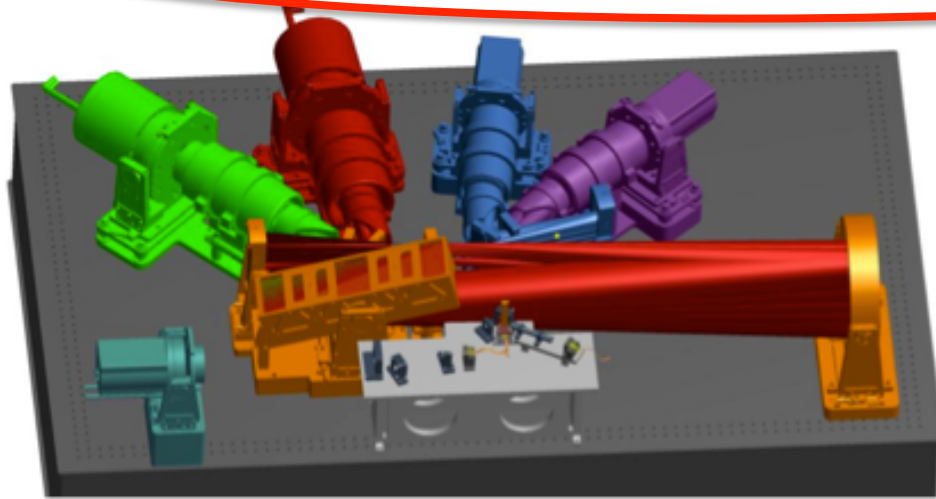
$$Speed \propto D_{Tel}^2 \bullet W_{grating}^0$$

Speed=# of counts/s/Angstrom, W= illuminated grating length



# Some questions: (which you should be able to answer at the end of the course)

- What are the parts of a spectrograph
- Why are spectrographs so big?
- What sets the sensitivity?
- How do I estimate the exposure time?



S/N for object measured in aperture with radius  $r$ :  $n_{\text{pix}}$  = # of pixels in the aperture =  $\pi r^2$

$$SNR = \frac{R_* t}{\left[ \sum noise^2 \right]^{\frac{1}{2}}}$$

# How do I calculate the number of photo electrons/s on my detector?

- Resolved source
  - We are measuring surface brightness
  - $E = A\Omega I_v$
- For an extended object in the IR that is easy: You just need the temperature of the source, the system losses (absorption, QE etc), resolution and etendu of a pixel. No telescope aperture or F/#, no slit size, no optical train!
- For an extended object in the visible: You just need the surface brightness of the source, the system losses (absorption, QE etc), resolution and etendu of a pixel. No telescope aperture or F/#, no slit size, no optical train!
- Point source
  - we are measuring flux
  - $E = Af_v dt$
- For an unresolved object, you need the source magnitude, telescope aperture, system losses and resolution.

- Noise Sources:

$$\sqrt{R_* \cdot t} \quad \Rightarrow \quad \text{shot noise from source}$$

$$\sqrt{R_{sky} \cdot t \cdot \pi r^2} \quad \Rightarrow \quad \text{shot noise from sky in aperture}$$

$$\sqrt{RN^2 \cdot \pi r^2} \quad \Rightarrow \quad \text{readout noise in aperture}$$

$$\sqrt{[RN^2 + (0.5 \times \text{gain})^2]} \cdot \sqrt{\pi r^2} \quad \Rightarrow \quad \text{more general RN}$$

$$\sqrt{\text{Dark} \cdot t \cdot \pi r^2} \quad \Rightarrow \quad \text{shot noise in dark current in aperture}$$

$R_* = \text{e}^-/\text{sec}$  from the source

$R_{sky} = \text{e}^-/\text{sec/pixel}$  from the sky

$RN = \text{read noise}$  (as if  $RN^2 \text{ e}^-$  had been detected)

$\text{Dark} = \text{e}^-/\text{second/pixel}$

# Sources of Background noise

- Relic Radiation from Big Bang
- Integrated light from unresolved extended sources
- Thermal emission from dust
- Starlight scattered from dust
- Solar light scattered from dust (ZL)
- Line emission from galactic Nebulae
- Line emission from upper atmosphere (Airglow)
- Thermal from atmosphere
- Sun/moonlight scattered by atmosphere
- Manmade light scattered into the beam
- Thermal or scatter from the telescope/dome/instrument

Putting it all together:

S/N for object measured in aperture with radius  $r$ :  $n_{\text{pix}}$  = # of pixels in the aperture =  $\pi r^2$

$$\text{Signal} \quad \xleftarrow{\hspace{1.5cm}} \hspace{0.5cm} \xrightarrow{\hspace{1.5cm}} \quad R_* t$$
  

$$\text{Noise} \quad \leftarrow \left[ \underbrace{R_* \cdot t}_{\sqrt{(R_* \cdot t)^2}} + \underbrace{R_{\text{sky}} \cdot t \cdot n_{\text{pix}}}_{\text{Noise from sky } e^- \text{ in aperture}} + \underbrace{\left( (RN)^2 + \left( \frac{\text{gain}}{2} \right)^2 \right) \cdot n_{\text{pix}}}_{\text{Readnoise in aperture}} + \underbrace{\text{Dark} \cdot t \cdot n_{\text{pix}}}_{\text{Noise from the dark current in aperture}} \right]^{\frac{1}{2}}$$

All the noise terms added in quadrature  
*Note:* always calculate in  $e^-$

S/N - some limiting cases. Let's assume CCD with Dark=0, well sampled read noise.

$$\frac{R_* t}{\left[ R_* \cdot t + R_{\text{sky}} \cdot t \cdot n_{\text{pix}} + (RN)^2 \cdot n_{\text{pix}} \right]^{\frac{1}{2}}}$$

Bright Sources:

$(R_* t)^{1/2}$  dominates noise term

$$S/N \approx \frac{R_* t}{\sqrt{R_* t}} = \sqrt{R_* t} \propto t^{\frac{1}{2}}$$

Read-noise Limited

$$(3\sqrt{R_{\text{sky}} t} < RN) : S/N \propto \frac{R_* t}{\sqrt{n_{\text{pix}} RN^2}} \propto t$$

Sky Limited  $(\sqrt{R_{\text{sky}} t} > 3 \times RN) : S/N \propto \frac{R_* t}{\sqrt{n_{\text{pix}} R_{\text{sky}} t}} \propto \sqrt{t}$

Note: seeing comes in with  $n_{\text{pix}}$  term

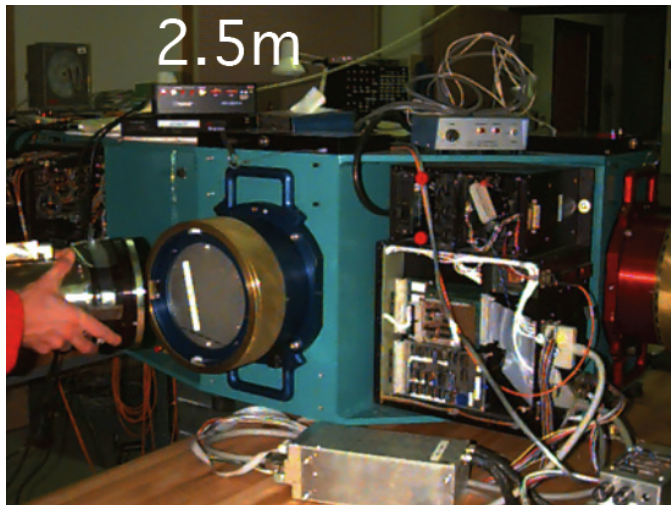
## Some questions:

- What are the parts of a spectrograph
- Why are spectrographs so big?
- What sets the sensitivity?
- How do I estimate the exposure time?

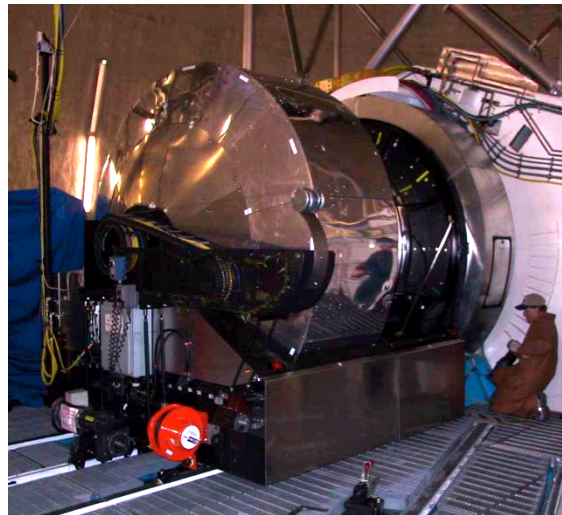
# Three Spectrographs of similar field and resolution

- Why do they look so different?

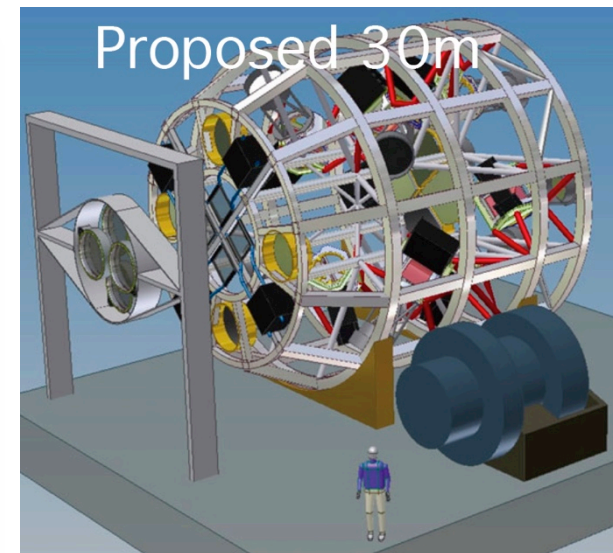
SDSS 2.5M



Nasmyth Focus at Keck 10M



TMT 30M



# What causes dispersion

- The number of grooves in the grating
- Optical path difference in the interfering beam,
- Or
- Optical time delay in the interfering beam

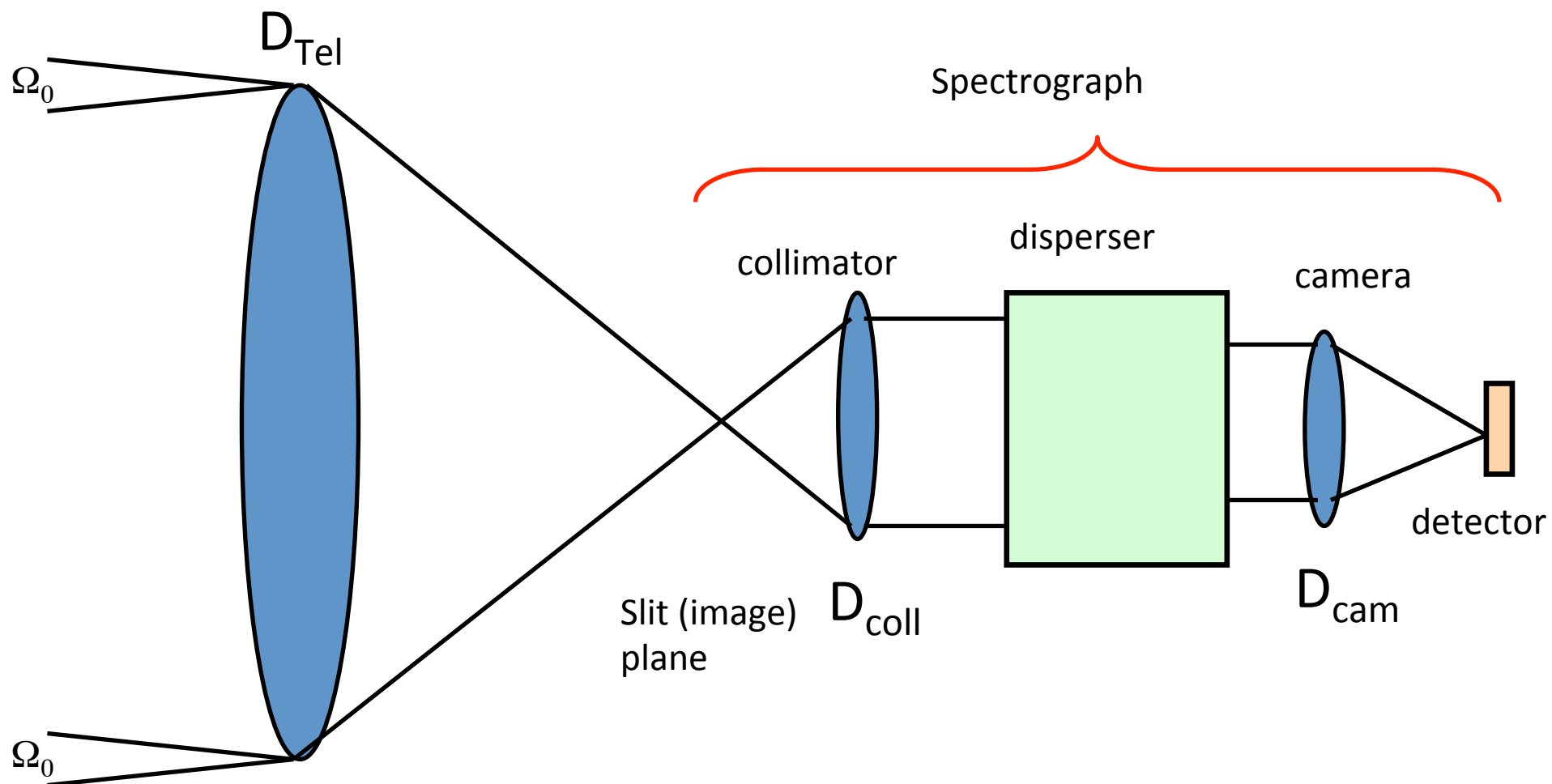
### Thought experiment 1:

How Big an aperture do you need to achieve  $R=100,000$  in the diff-limited case on a 10-meter telescope at  $1\text{ }\mu\text{m}$ ?

- a) 2.5 meters
- b) 250 mm
- c) 25 mm
- d) 2.5 mm

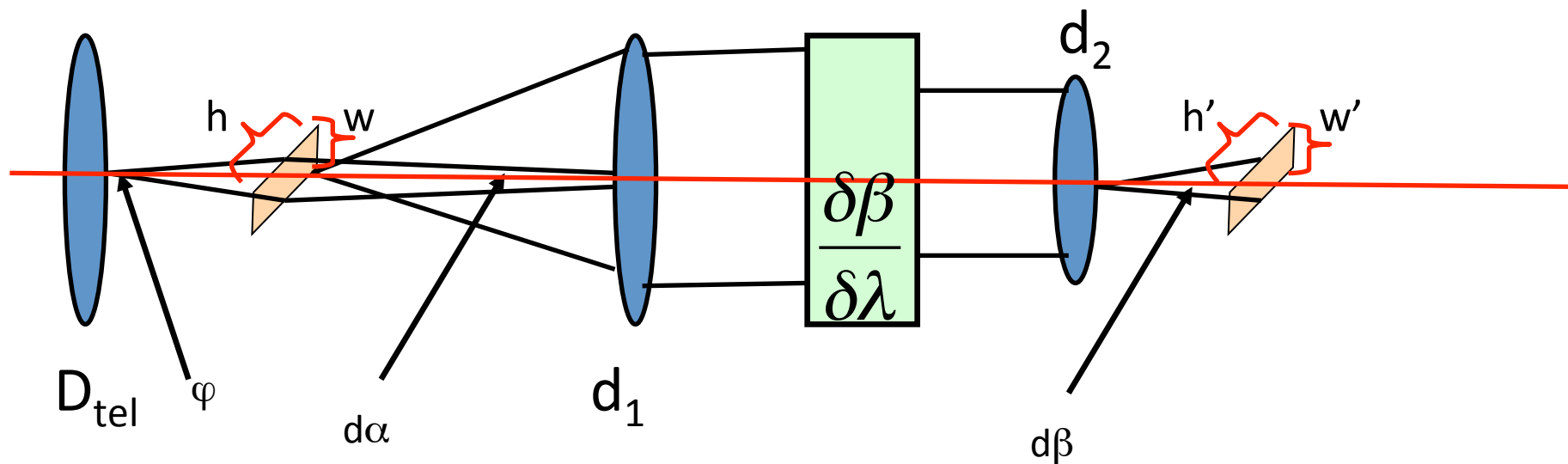
# Slit Spectrograph analysis

- Follows Schroeder CH12



Anamorphic factor,

$$r = D_{\text{coll}} / D_{\text{cam}}$$



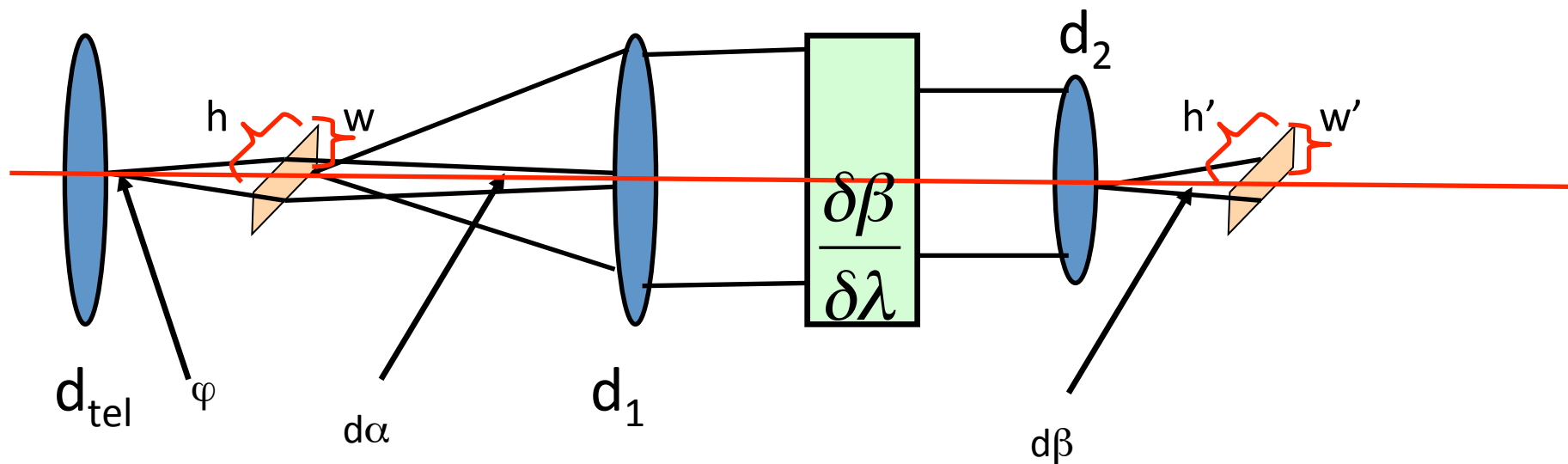
Etendu:

$$\phi D_{tel} = d\alpha d_1 = d\beta d_2$$

Anamorphism:

$$r = \frac{d_1}{d_2}$$

$$\Rightarrow d\beta = \frac{\phi D_{tel}}{d_2} = \frac{\phi r D_{tel}}{d_1}$$

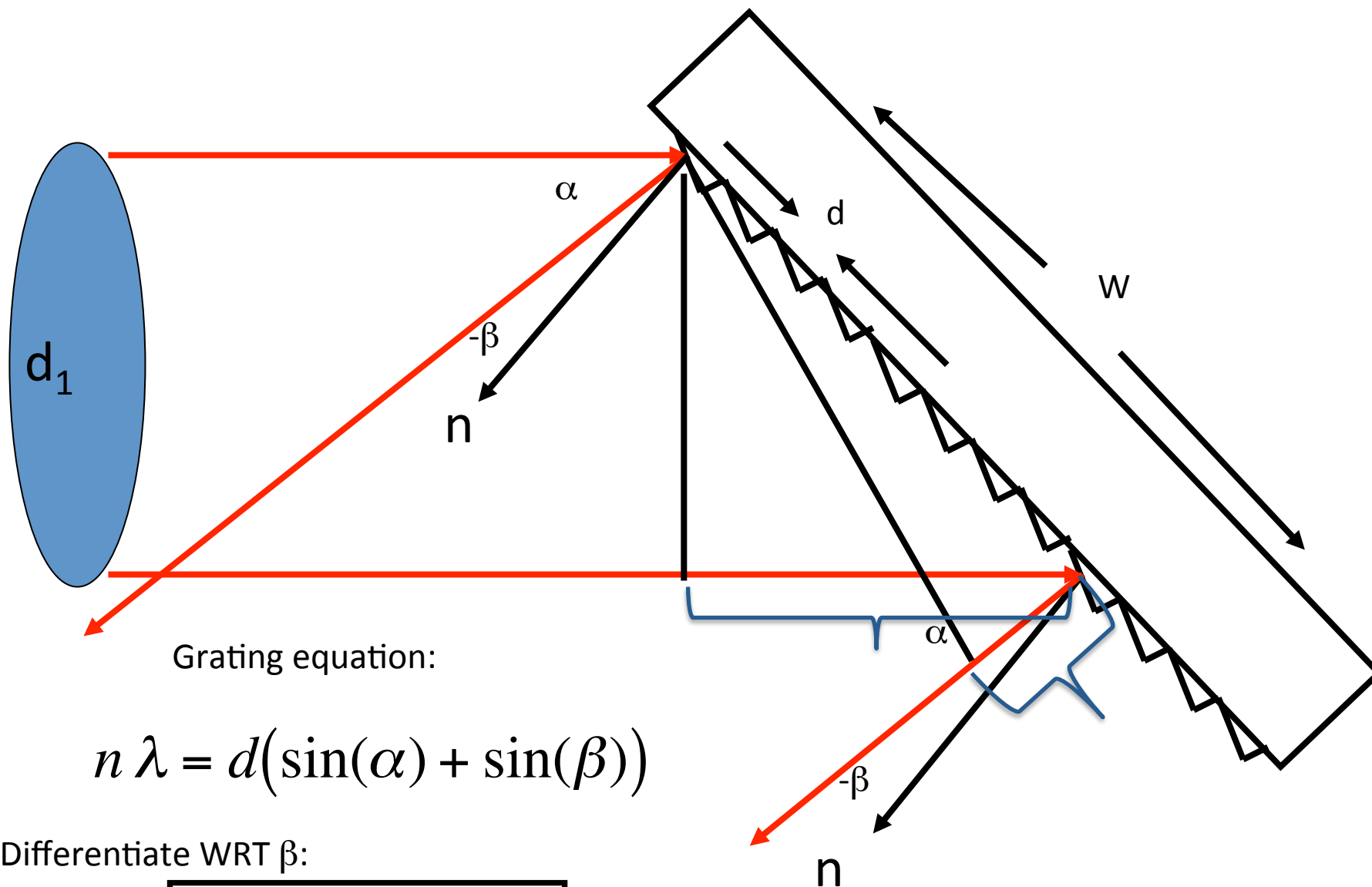


$$d\lambda = \lambda_2 - \lambda_1 = \frac{\delta\lambda}{\delta\beta} \cdot d\beta = \frac{\delta\lambda}{\delta\beta} \cdot \frac{\phi r D_{tel}}{d_1}$$

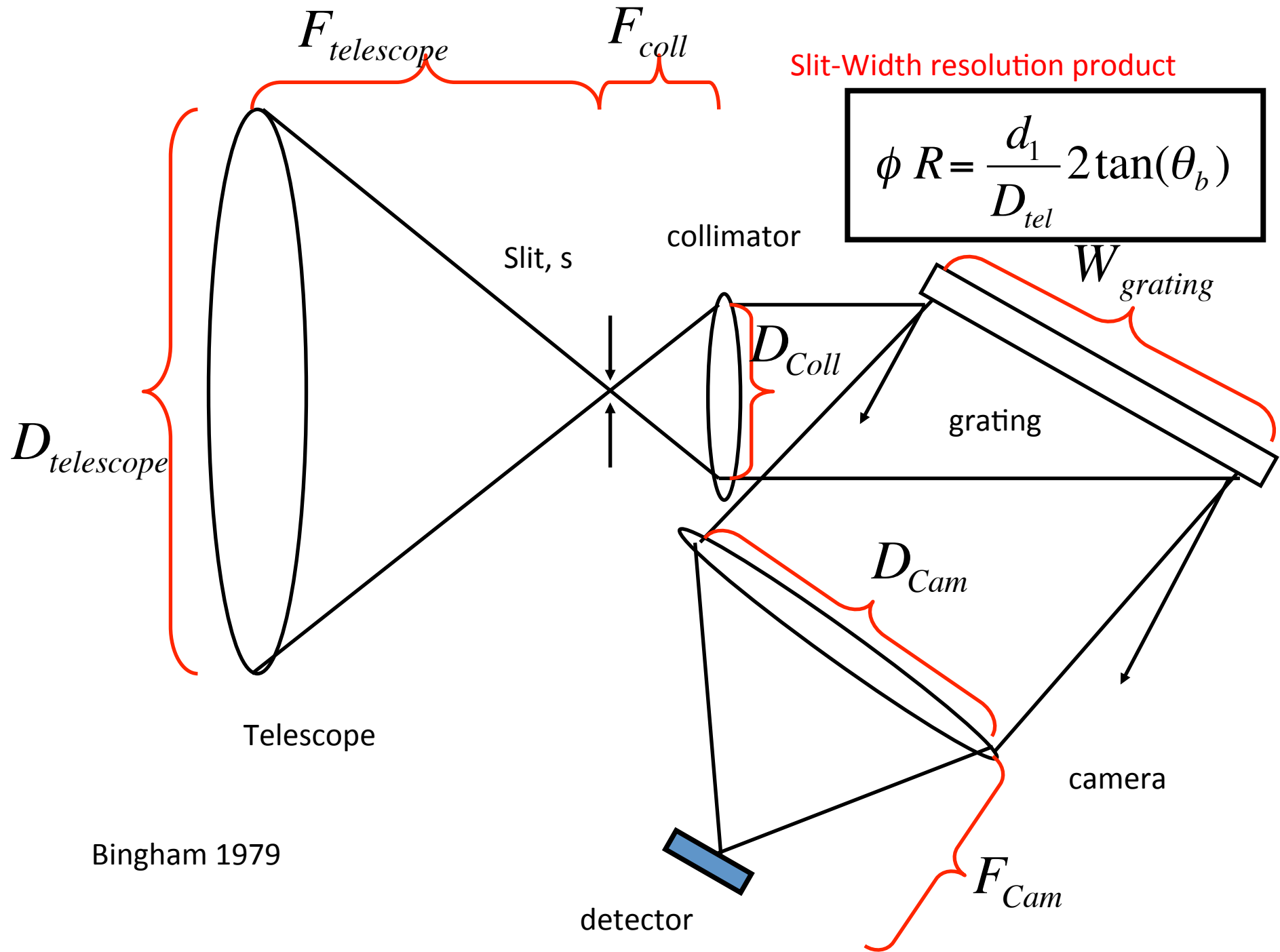
$$\phi R = \phi \frac{\lambda}{d\lambda} = \frac{\delta\beta}{\delta\lambda} \cdot \frac{\lambda d_1}{r D_{tel}}$$

$$\phi R = \phi \frac{\lambda}{d\lambda} = \frac{\delta\beta}{\delta\lambda} \bullet \frac{\lambda d_1}{rD_{tel}}$$

- The spectrograph “knows” about the telescope.
- The larger the telescope the larger the spectrograph at fixed slit width and resolution
- Move a spectrograph to a larger telescope, reduce R or  $\phi$  or both!



$$\boxed{\frac{\delta \lambda}{\delta \beta} = \frac{d \cos(\beta)}{n}}$$

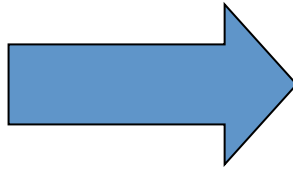


Bingham 1979

OPD available for interference in the *coherent* beam !

Diff-limited

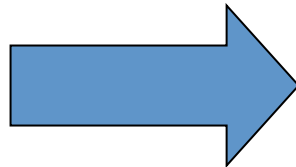
$$\phi = \frac{\lambda}{D_{tel}}$$



$$R = \frac{d_1}{\lambda} 2 \tan(\theta_b)$$

seeing-limited

$$\phi = \frac{\lambda}{r_0}$$



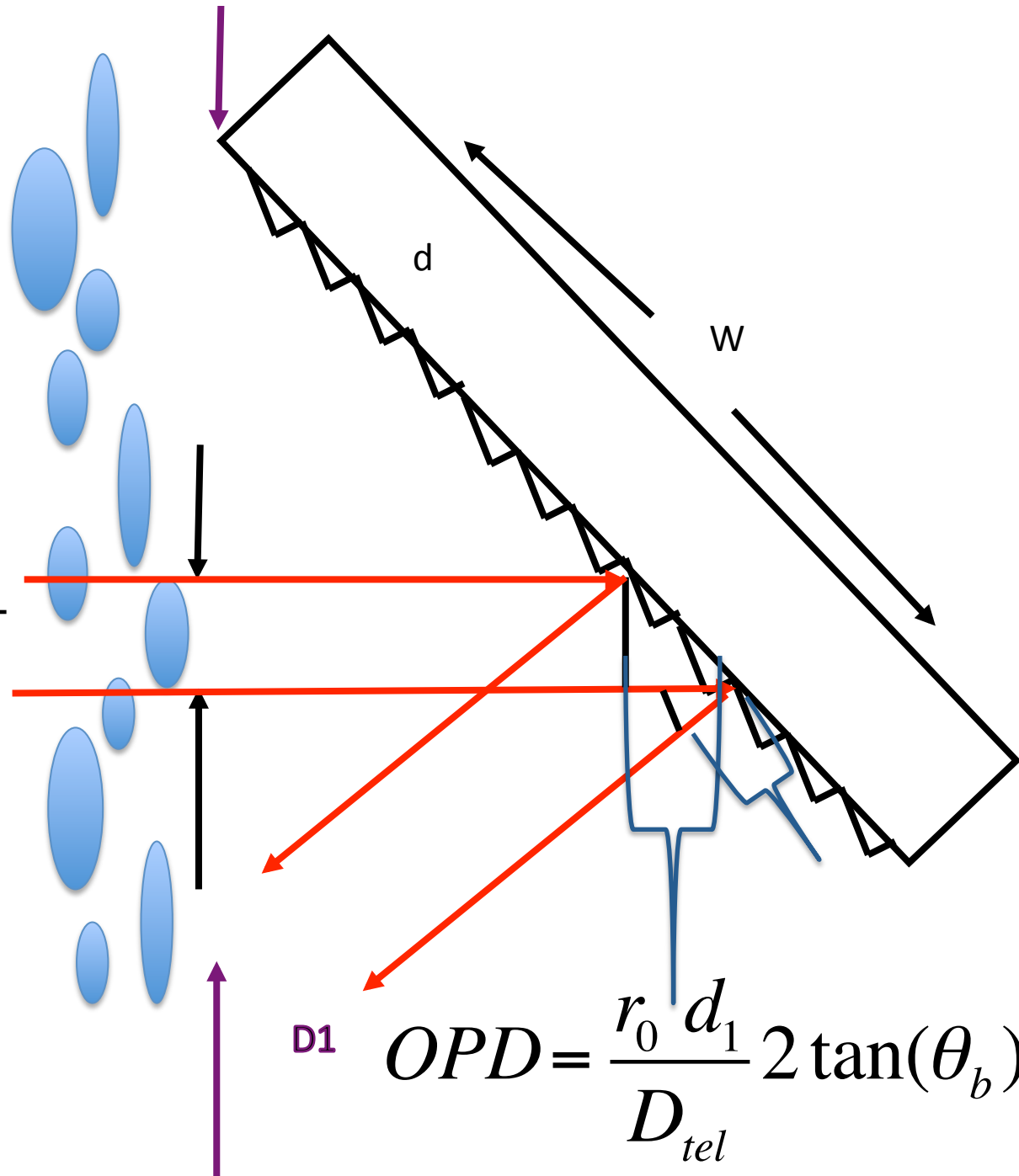
$$R = \frac{r_0 d_1}{D_{tel} \lambda} 2 \tan(\theta_b)$$

\* Not just for Littrow:  $n \cdot \text{grooves} \cdot n\lambda = \text{OPD}$

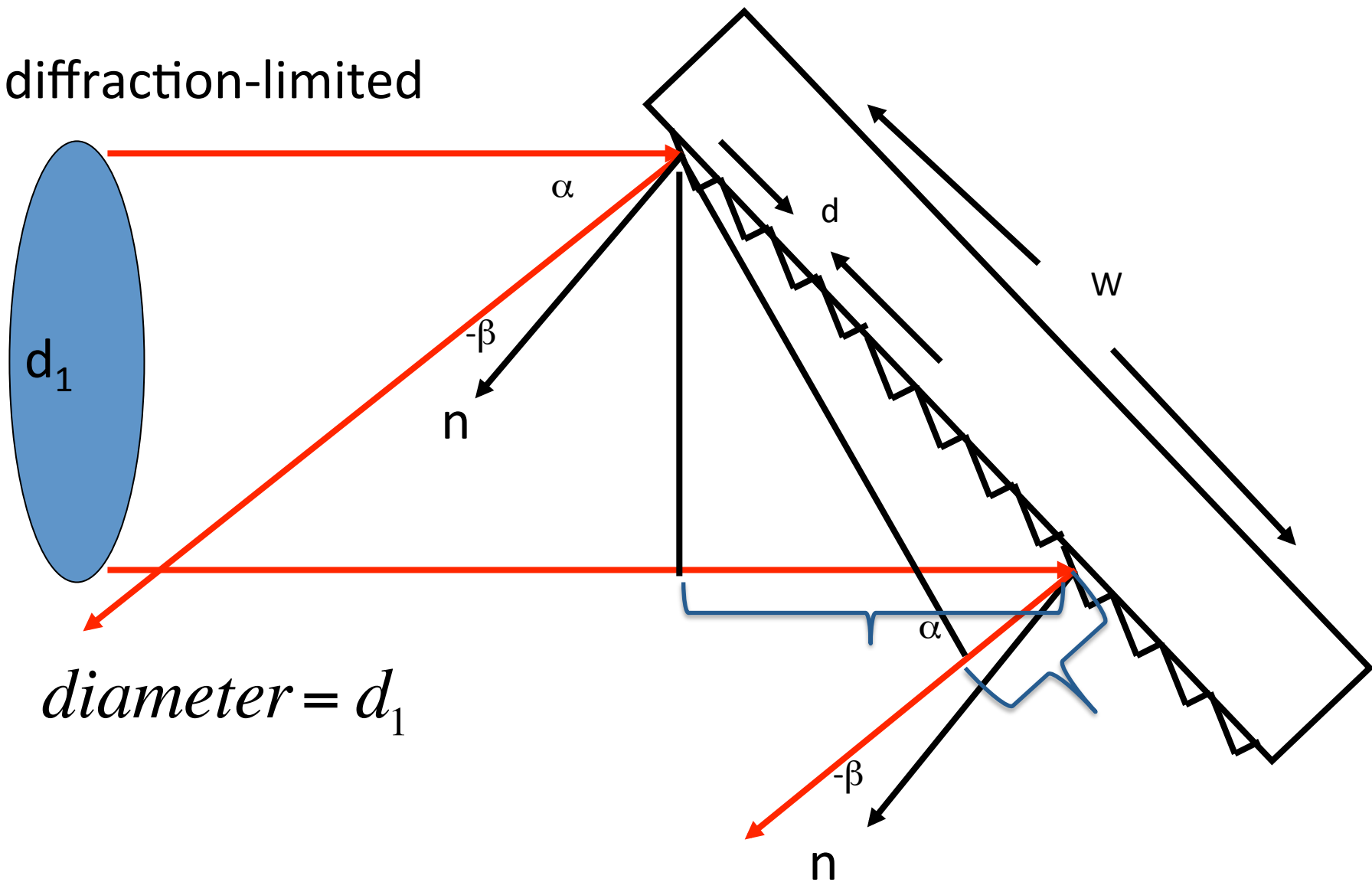
# seeing-limited

$$diameter = \frac{r_0 d_1}{D_{tel}} .$$

**D1**  $OPD = \frac{r_0 d_1}{D_{tel}} 2 \tan(\theta_b)$



diffraction-limited



*diameter* =  $d_1$

$$OPD = d_1 (\sin(\alpha) + \sin(\beta)) = d_1 2 \tan(\theta_b)$$

- One way to think about this: Spectrograph resolution is NOT a function of the spectrograph or the optics!
- $R \sim \text{OPD}$  or optical time delay available for interference in the *coherent* beam (works for prisms and other dispersing elements too)
- The job of the telescope/spectrograph/AO system is to create as much OPD as possible then collect that information!

Some numbers:

Consider collimator diameter for an R2 ( $\tan\theta=2$ ) spectrograph with  $R=50,000$  at  $\lambda=1$  micron

- 2.5-meter aperture  $> 150\text{mm } D_{\text{col}}$
- 10-meter aperture  $> 0.61\text{-meter } D_{\text{col}}$
- 30-meter aperture  $> 1.84\text{-meter } D_{\text{col}}$
- Diffraction-limited  $> 12.8\text{-mm } D_{\text{col}}!$

# How do you get a long time delay

- Long grating (echelle)
- High index (immersion grating)
- Big beam
- All of the above

# What are Echelles/echellettes?

- Course, precisely-ruled gratings (few grooves/mm)
- Used at high-angles= high R;  $R = \tan(\theta_b)$
- $\theta_b$  is BIG,  $\theta_b = 63$  degrees to 78 degrees
- Used at high orders
- $N=100-600$  (echelle)
- $N=10-100$  (echellette)

# Echelles/echellettes

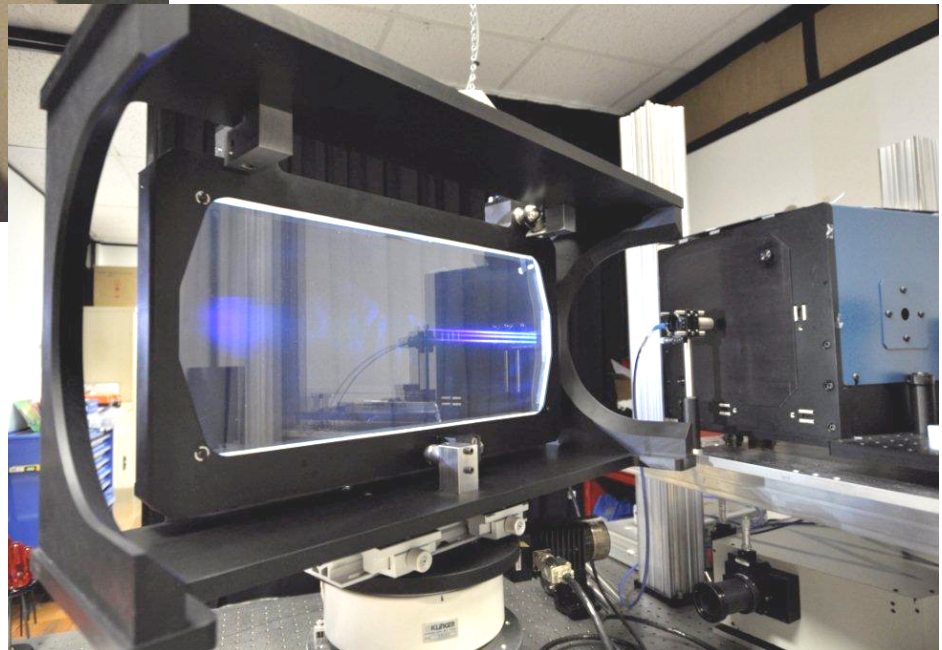
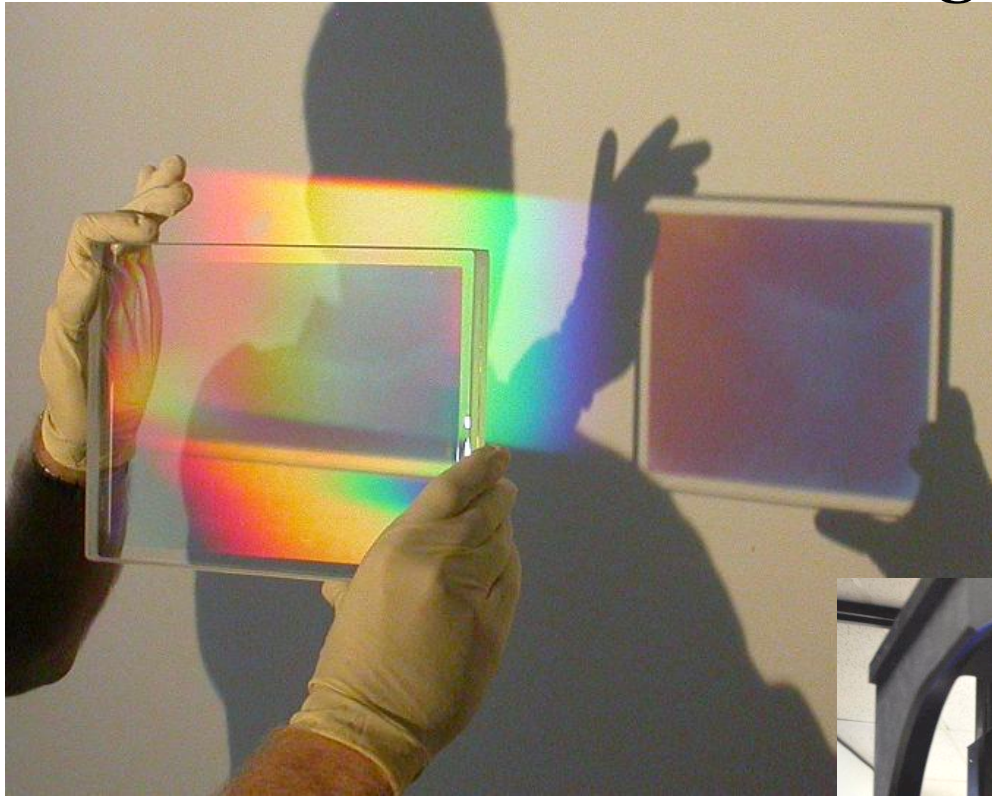
## important features

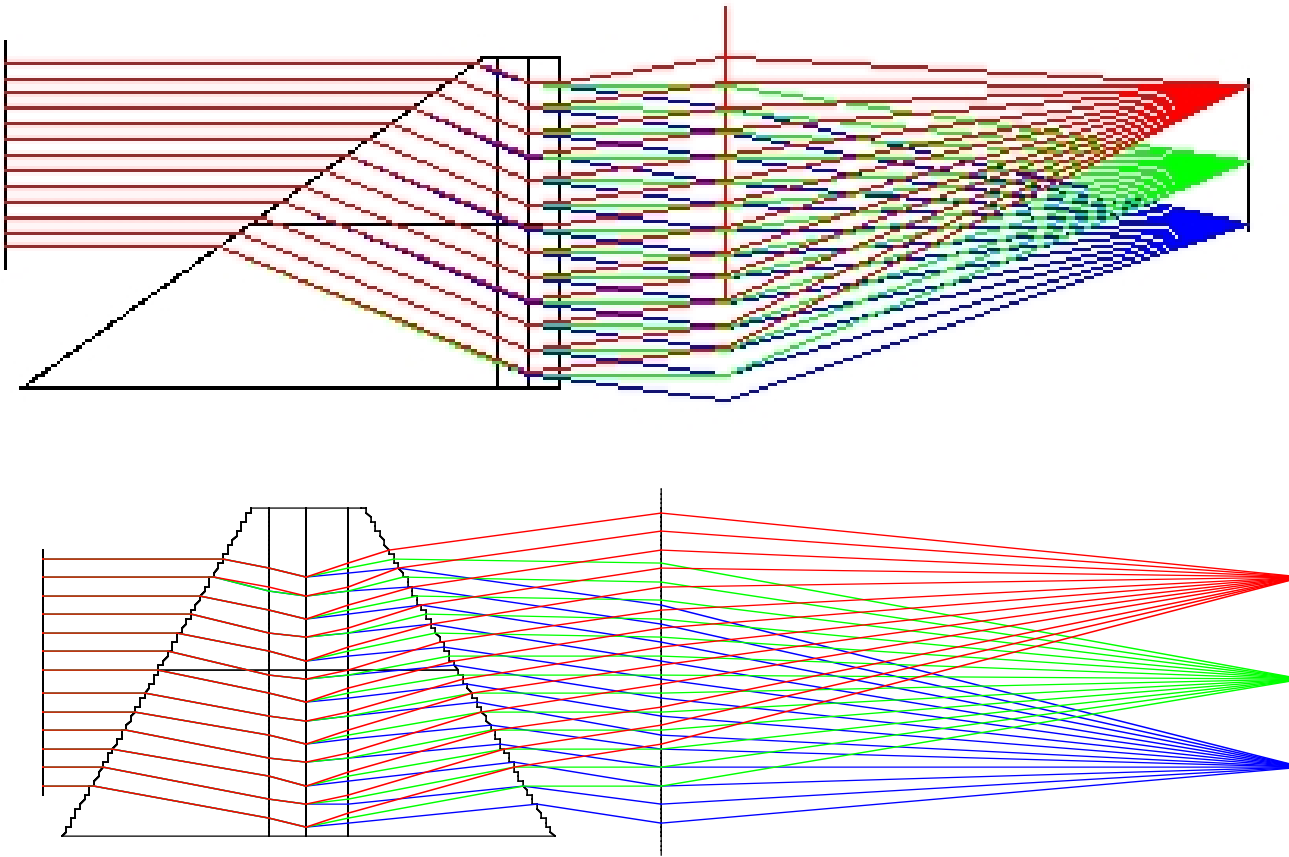
- High dispersion in compact package
- High R-value (high  $\tan\theta_b$ ) High throughput
- High blaze efficiency over wide wavelength range
- Nearly free of polarization effects

# Echelles/echellettes disadvantages

- Hard to manufacture
- Orders overlap
- Need order-blocking filters or
- Cross-dispersion (becomes an advantage with a 2-D detector)

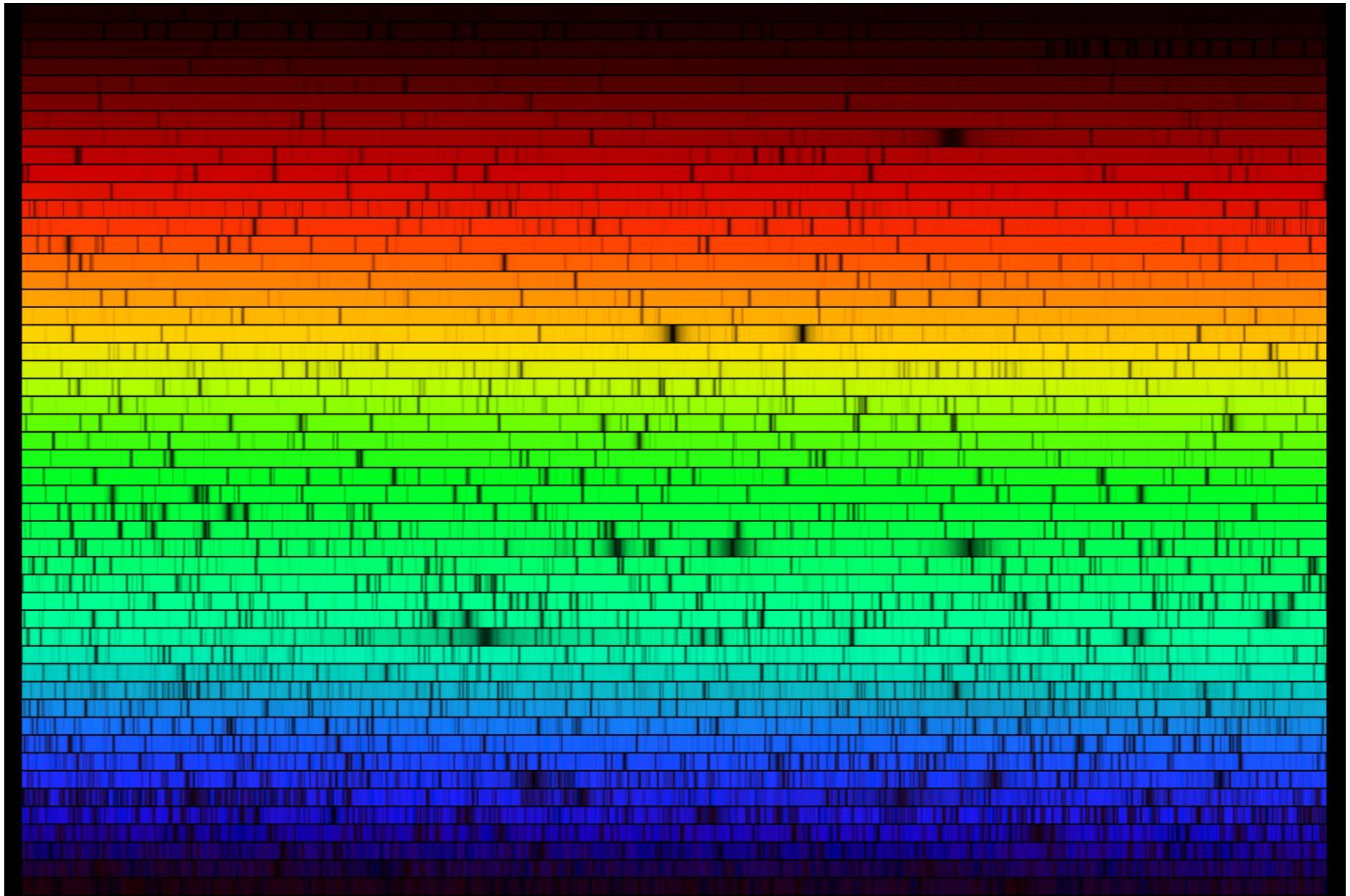
# *Volume Phase Holographic Gratings*

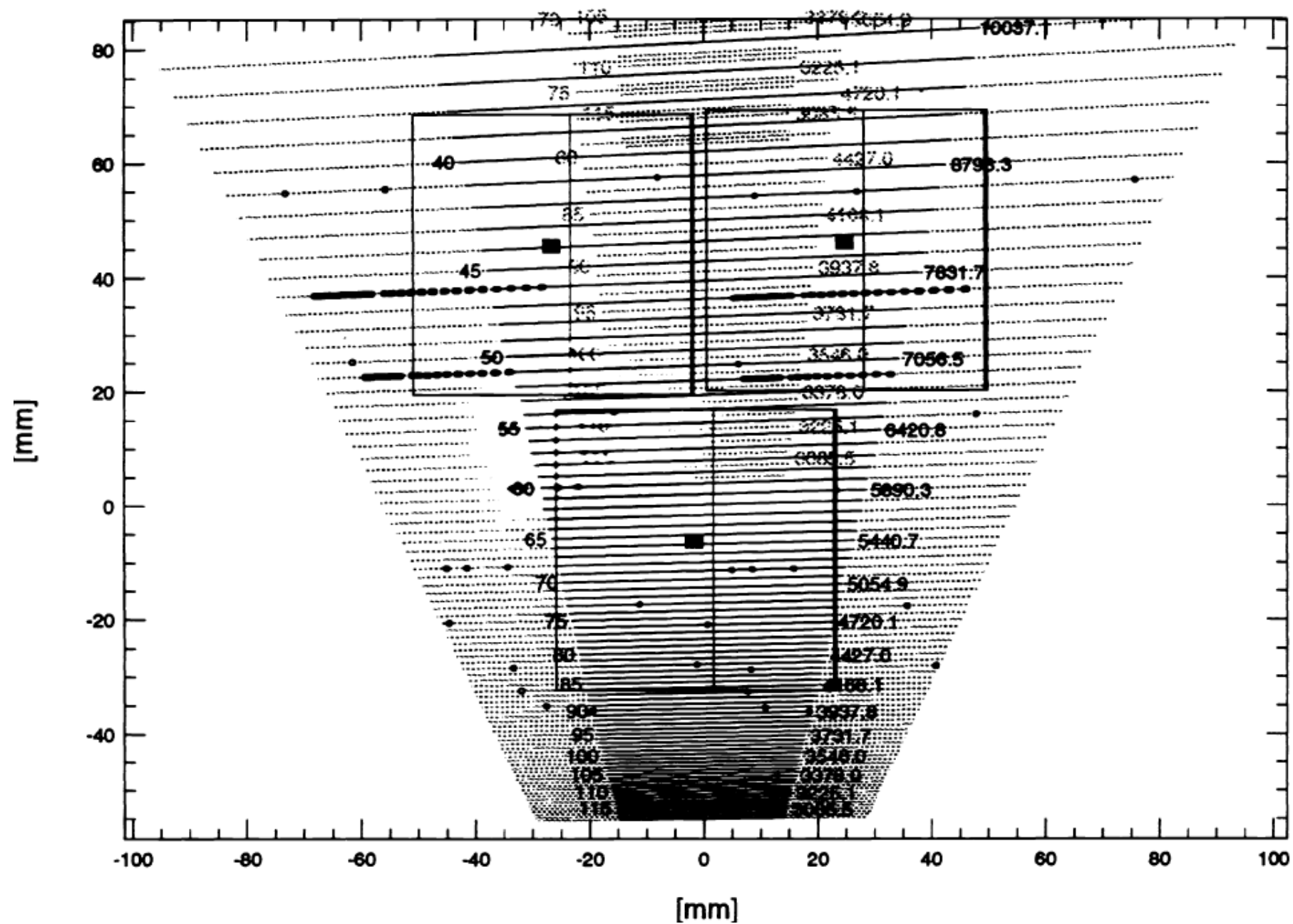


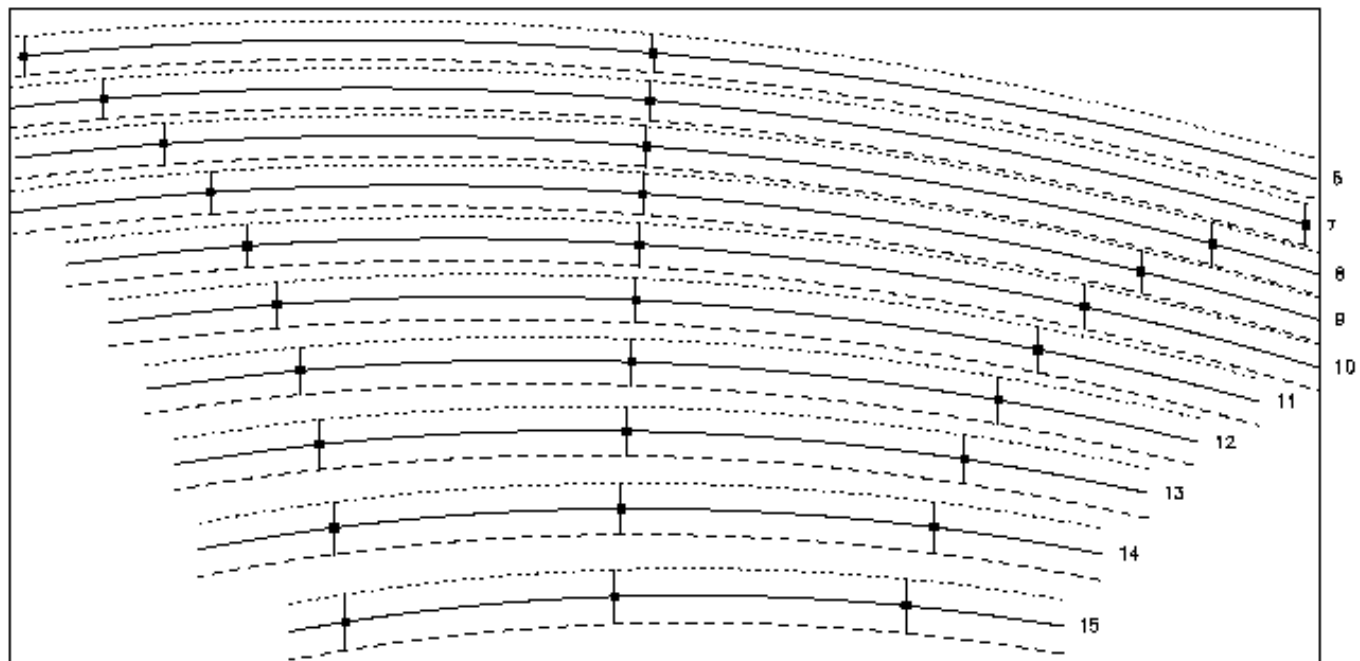


Examples of VPH grisms with tilted fringes (above, 1a), and fringes at Littrow (below, 1b). Both gratings are 930 l/mm blazed at 600 nm. For reference, the size of the beam, paraxial camera and focal surface are the same.

\* Hill, Wolf, Tufts, Smith, 2003, SPIE, 4842,







End lecture 4